



ASIAN
BANKING
SCHOOL

**JULY – DECEMBER 2024
PUBLIC PROGRAMMES**

BANKING OPERATIONS

BRANCH MANAGEMENT

COMPLIANCE

CORPORATE FINANCE

CREDIT

DIGITAL BANKING

FINANCE / AUDIT

FINTECH / INNOVATION

INVESTMENT BANKING

LANGUAGE

MULTI-DISCIPLINES

PROFESSIONALISM & ETHICS

RELATIONSHIP MANAGEMENT

RISK MANAGEMENT

SOFT SKILLS

SUSTAINABLE FINANCE

TRADE FINANCE

TRANSACTION BANKING

TREASURY & CAPITAL MARKET

WEALTH MANAGEMENT

ABOUT OUR PROGRAMMES

The **Asian Banking School (ABS)** offers leading-edge and industry relevant training programmes that cover a comprehensive list of banking areas. They are designed and developed in-house by our Specialist Training Consultancy Team who are all HRD Corp Certified Trainers or in collaboration with strategic learning partners that includes some of the top business schools in the world.

Our open enrolment programmes are generally offered on a six-month interval to allow us to continuously review and update our course materials based on a more intuitive understanding of what banks and other financial institutions need in this fast-changing dynamic financial services landscape.

The programmes listed in the following pages are all HRD Corp and STF Credit claimable and are delivered in differing methods through face-to-face classrooms, online learning or hybrid. Many of these can be customised as in-house training to suit the needs of your organisation. Aside from what you see here, ABS has over 200 other programmes that are HRD Corp claimable.

ABS also provides a broad spectrum of consultancy services to create tailor-made training programmes that are specifically aligned with your organisation's strategic learning requirements.

**OUR TRAINING PROGRAMMES ARE
GROUPED INTO THE BANKING AREAS OF:**

Please visit
www.asianbankingschool.com/our-programmes
to find out more details about our programmes.



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PROGRAMME CALENDAR 2024

PROGRAMME TITLE	LEARNING LEVEL	PAGE	JUL	AUG	SEP	OCT	NOV	DEC
COMPLIANCE								
Understanding Foreign Exchange Policy and its Application in Banking Transactions	Foundation	03			4 – 5			
Cybersecurity Governance and Practical Issues	Intermediate	06		26				
Foreign Exchange Policy (FEP): Application of Rules of Borrowing and Lending (Notice 2)	Intermediate	08			19			
Foreign Exchange Policy: Application of Rules on Investment in Foreign Currency Assets (Notice 3)	Intermediate	10				24		
Implementing and Managing e-KYC	Intermediate	12					11	
CREDIT								
Deciphering the Role of Interest Rates in Credit Analysis	Foundation	14			26			
Machine Learning for Credit Scoring and Risk Management	Intermediate	16					6	
FINANCE / AUDIT								
Agile Internal Auditors in a VUCA Environment	Intermediate	18					4 – 5	
DIGITAL BANKING								
Artificial Intelligence in Banking and Finance	Intermediate	20				14		
Chatbots and Virtual Assistants in Banking	Intermediate	22				1		
Digital Transformation: "Banking is Necessary, Banks are Not"	Intermediate	24			23			
Explainable AI in Banking and Finance	Intermediate	26						10
INVESTMENT BANKING								
Introduction to Investment Banking	Foundation	28				21		
The Psychology of Finance and Investment Decisions	Intermediate	30					4	
MULTI-DISCIPLINES								
Agile Bank Leaders in a VUCA Environment	Intermediate	33				16 – 17		
The Future of Banking	Intermediate	35	31					4
PROFESSIONALISM & ETHICS								
Introduction to Ethics in Banking	Foundation	37	22		18 – 27		18	
Navigating Ethical AI in Banking: A Critical Framework for Malaysian Bankers	Intermediate	40	programme is only available for in-house					
Business Ethics for Managers	Advanced	42				10		
RISK MANAGEMENT								
Managing Geopolitical Risk in Banking	Intermediate	44				2 – 3		
Operational Risk Management	Intermediate	46		12				
Wholesale Market Conduct – Risk Assessment, Surveillance and Compliance	Intermediate	48				9		
SUSTAINABLE FINANCE								
Understanding SDGS & Climate Risk Awareness for Banks	Foundation	50			24			
Voluntary Carbon Market and Opportunities for Malaysia Financial Institutions	Foundation	52			26			
Building Sustainable & Ethical Banking Practices: An ESG Framework for Bankers	Intermediate	54		13				
Carbon Markets and Decarbonisation Strategy	Intermediate	56		28				
Climate Change and Principle-based Taxonomy (CCPT)	Intermediate	58			30			
Climate Risk Management: Stress Testing, Scenario Analysis, Climate Risk Models and GHG Accounting	Intermediate	60		26				
Demystifying Social Finance: Blending Profit and Purpose	Intermediate	62						18 – 19
Energy Efficiency Financing in Malaysia	Intermediate	64					26	
ESG and Climate Risk Management	Intermediate	66		21				
ESG and Sustainability for Capital Markets and Investment Banking	Intermediate	68		15		23		
ESG and Sustainable Finance: Latest Trends, Client Engagement and Supporting Clients' Sustainability Journey	Intermediate	70				7		
ESG Disclosure, Reporting and Compliance	Intermediate	72					13	
Mastering BNM CCPT for Relationship Manager	Intermediate	74						2
Navigating Sustainable Finance and Climate Risk Management	Intermediate	76					13 – 14	
Renewable Energy Financing in Malaysia	Intermediate	78					25	
Sustainable Finance for Relationship Managers	Intermediate	80					27 – 28	
Certified Expert In Biodiversity Finance	Advanced	82			1			
Certified Expert In ESG & Impact Investing	Advanced	84			1			
Certified Expert In Sustainable Finance	Advanced	87			1			
TREASURY & CAPITAL MARKET								
Bond Pricing and Interest Rates: Interpreting Signals for Economic Forecasting	Intermediate	90				30		
Bonds and Debt Securities – Mechanics of Primary Issuance and Secondary Trading	Intermediate	92					5	
Evolution of Basel Capital Adequacy Frameworks: Concepts and Principles	Intermediate	94				29		

Note: All information presented is correct at the time of publication but may be subject to change.

UNDERSTANDING FOREIGN EXCHANGE POLICY AND ITS APPLICATION IN BANKING TRANSACTIONS

The Foreign Exchange Policy (FE Policy) is part of the broad prudential toolkits that generally aim to preserve Malaysia's monetary and financial stability. The policy has become a 'compliance-focused area' among financial institutions in Malaysia and bank officers should have comprehensive understanding of the policy to ensure reasonable compliance and adopt market standards in the due diligence process.

This two-day programme will explain the rules within the FE Policy and how they are being applied in banking transactions. All seven Notices in the policy will be covered including the Directions to Financial Institutions and Minimum Due Diligence.

PROGRAMME DETAILS

WHEN

4 – 5 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001222028

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Interpret key rules of the FE policy
- Apply respective rules in banking transactions
- Undertake appropriate due diligence measures to ensure compliance

TRAINING METHODOLOGY

Interactive presentation, case scenarios, quizzes and sharing of practical experience

TRAINING REQUIREMENTS

Must have a minimum of 2 years' working experience in handling banking transactions involving FE Policy

PARTICIPANT PROFILE

Staff in banking institutions with working experience of at least 2 years in any business units or back-office department who deals with banking transactions involving FE Policy rules

PROGRAMME OUTLINE

Overview of Foreign Exchange (FE) Policy

- FE Rules by Balance of Payment components
- Balancing between the benefits and costs of capital flows
- FE Rules by Legal Notices
- Laws applicable to the FEA Rules
- FE rules of neighboring countries

Directions to Financial Institutions

- General operational requirements
- Requirements for submission of report on breaches and non-compliances

Understanding the Structure and Rules of FE Policy

- 4Ws Approach
- Activity / Discussion

Key terminologies in FE Policy

- Resident & non-resident, Labuan entities, group of entities, parent-subsidiary relationships etc

Hedging of currency and dealings in gold and precious metal (Notice 1)

- Key pointers
- Related definitions
- Dealings in currency by Resident: buying & selling of foreign currency against the Ringgit
 - » Own account transaction
 - » Dynamic hedging framework for institutional investors
 - » Transaction on behalf of related entities or clients
- Dealings in currency by non-resident: buying & selling of foreign currency against the Ringgit
 - » Own account transaction
 - » Dynamic hedging framework for institutional investors
 - » Transactions on behalf of related entities or clients
- Appointed overseas office framework
- Buying and selling of foreign currency against another foreign currency by residents and non-residents
- Dealings in gold and other precious metals
- Foreign Currency Trading
- Case Scenarios

Obtaining and Extending of Borrowing and Guarantee (Notice 2)

- Key pointers
- Related definitions
- Borrowing by resident individual, sole proprietor, partnership and entity in :
 - » Ringgit from non-resident
 - » Foreign currency from resident and non-resident
- Borrowings by non-residents in Ringgit and foreign currency
- Exchange of debts
- Financial & non-financial guarantee
- Case Scenarios

Investment in Foreign Currency Assets (Notice 3)

- Key pointers
- Classifications of investment transactions
- Investment in foreign currency asset by
 - » resident individual, sole proprietor and partnership
 - » resident entity
 - » resident intermediaries
- Case Scenarios

Payments, Receipts and Account Operations (Notice 4)

- Key pointers
- Related definitions
- Payment and receipts for approved transactions
- Payment involving Specified Persons and Restricted Currency
- Payment/receipts in Ringgit between
 - » a non-resident and resident
 - » non-residents
- Opening and maintaining of Ringgit account by non-resident
- Management of Ringgit Assets by NR Financial Institution or NR Intermediary
- Payment/receipts in Foreign Currency between:
 - » residents
 - » resident & non-resident
- Opening and maintaining of foreign currency accounts
- Case Scenarios

Issuance of Securities and Financial Instruments (Notice 5)

- Issuance of Security and Islamic Security by resident & non-resident
- Issuance of financial instruments and Islamic financial instruments by licensed financial institutions and Bursa Malaysia
- Subscription or transfer of security or financial instrument
- Case Scenarios

Import and export of Currency (Notice 6)

Export of goods and retention of its proceeds (Notice 7)

- Key pointers
- Related definitions
- Scope of exports of goods
- Repatriation required of proceeds of export of goods
- Payment of proceeds of export of goods in Ringgit
- Case Scenarios

ABOUT THE TRAINER

SHAHRUL ADZUAN AHMAD

Shahrul Adzuan Ahmad is a Training Consultant at the Asian Banking School and has 27 years of working experience in the banking sector, with 24 of those years doing training and development at several banks.

His working experience includes branch operations, credit, training administration support as well as developing, delivering, and facilitating training programmes. The training programmes that he has conducted include Foreign Exchange Policy (formerly known as Foreign Exchange Administration Rules), credit, AML/CFT, leadership development and soft skills. At the Asian Banking School, Shahrul has conducted multiple training programmes relating to the Foreign Exchange Policy, Certified Credit Executive (CCE) and Retail Credit Professional (RCP). He also maintains a steady working relationship with the Foreign Exchange Policy Department of Bank Negara Malaysia for regular industry updates and training requirements as well as matters related to the Foreign Exchange Policy.

Shahrul holds a Bachelor of Science degree in Human Resources Development from the University of Technology Malaysia and a Diploma in Banking Studies from the Institute of Technology MARA (now known as the University of Technology MARA). He is a Certified Training Professional (CTP), DiSC - Certified Behavioural Consultant (CBC) and Certified HRDF Trainer.

Learning Level | Intermediate

CYBERSECURITY GOVERNANCE AND PRACTICAL ISSUES

This one-day programme seeks to critically examine the laws and increased technology risks that have emerged in this digital age from the use of information technology (IT) and the Internet. Further to that, it will explore and analyse various strategies, action plans, processes, approaches and solutions that may be employed to address technology risk exposure, mitigate cyber risks and to strengthen the bank's technology resilience against operational disruptions in this digitalised world.

PROGRAMME DETAILS

WHEN

26 August 2024
9:00 am – 5:00 pm

WHERE

Online via Zoom

FEES*

RM 1,700.00

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001254119

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand key concepts of cybersecurity, identify key risk issues and mitigating factors
- Be aware of the RMIT policy requirements and overall responsibilities on governance of cybersecurity strategy and technology risk management
- Understand how to fulfil the regulatory requirements and be continuously engaged in cybersecurity
- Manage technology risk and implement critical technology operations in order to facilitate strategic decision making and take effective cybersecurity measures

TRAINING METHODOLOGY

Lectures, discussions, Q&A

PARTICIPANT PROFILE

Bank-wide; in particular, senior executives and managers from compliance, IT, Risk, etc

PROGRAMME OUTLINE

The Cybersecurity Concern and Governance

- Key Concepts
- Why cybersecurity is a serious concern
- Consequences of cybersecurity breaches
- Business Continuity Management
- BOD and Senior Management's accountabilities
- Roles and responsibilities of officers

Technology Risk Management

- Cyber threats
- Understanding and assessing the risks
- Minimise the impacts and implement controls
- Audit of the controls
- Making a quick recovery in event of a cybersecurity incident

Taking Cybersecurity Measures and Meeting Legal and Regulatory Requirements

- Setting the culture of a proactive cybersecurity mindset
- Strengthening technology resilience against operational disruptions
- Increasing vigilance and capability to respond to emerging threats
- Cybersecurity risk management and control measures
- Ensuring continuous availability of essential financial services to customers and adequate protection of customer data

Workshop: Case Studies on Cybersecurity

RMiT policy document (issued 19 June 2020; wef. 1 Jan 2020)

- An overview of the RMiT and other applicable legal instruments and policy documents
- Roles and responsibilities
 - » Governance
 - » Technology Risk Management
 - » Technology Operations Management
 - » Cybersecurity Management
 - » Technology Audit
- Internal Awareness and Training
- Control Measures

ABOUT THE TRAINER

DATIN DR LEE MEI PHENG

Datin Dr Lee Mei Pheng is a senior partner, banking law consultant and practitioner at Detta Samen & Co Advocates, one of the most established law firms in Sarawak. She is an Advocate and Solicitor of the High Court in Malaya and an Advocate of the High Court in Sabah and Sarawak.

She had previously served at OCBC Bank in Malaysia as Head of the Legal department and Assistant Vice President of the Kuching Branch in Sarawak for ten years and since 1984, been a leading consultant and lecturer for international financial institutions and large corporations. She is also a course director for Euromoney Training in Hong Kong. Her other professional contributions include being a consultant, commissioned author, editorial reviewer and specialist for LexisNexis, AICB and Oxford publications, an external assessor for business and company Law at Wawasan Open University, Penang and author of many publications.

Datin Dr Lee holds a Doctor of Philosophy (Laws) from the University of Liverpool, England, specialising in Banking and Finance Law, with her PHD research on banking fraud. She also holds an LLB First Class Honours degree from the University of Malaya and was a Fellow of the Faculty of Law. She resides on the Gold Coast, Australia and since 2000, has been an Adjunct Associate Professor with the Faculty of Law at Bond University, Queensland, Australia. She is a Fellow of the Financial Services Institute of Australasia and Tim Fischer Centre for Global Trade and Finance, as well as a Member of the Law Advisory Board, Bond University, Australia. She is also an Associate Fellow of the Institute of Bankers, Malaysia (now known as Asian Institute of Chartered Bankers or AICB).

Presently, she is also a member of the Institute of Company Directors Malaysia and the Singapore Institute of Directors.

FOREIGN EXCHANGE POLICY: APPLICATION OF RULES ON BORROWING AND LENDING (NOTICE 2)

The Foreign Exchange Policy (FE Policy) is part of the broad prudential toolkits that generally aim to preserve Malaysia's monetary and financial stability. The policy has become a 'compliance-focused area' among financial institutions in Malaysia and bank officers should have comprehensive understanding of the policy to ensure reasonable compliance and adopt market standards in the due diligence process.

This one-day programme is designed to enable participants to appraise the FE Policy rules in Notice 2 that are relevant to their job functions. The rules of Notice 2 including its general minimum due diligence will be presented and participants will also be involved in group discussions to discuss different scenarios of banking business and transactions where the rules apply.

PROGRAMME DETAILS

WHEN

19 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001291928

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the rules of borrowing and lending in Notice 2
- Identify key due diligence measures relevant to the respective rules
- Apply the rules with appropriate due diligence measures to ensure compliance

TRAINING METHODOLOGY

Interactive presentation, quizzes and group discussion of case studies

PARTICIPANT PROFILE

Banking executives (Managers and Officers) with at least 2 years of experience in business unit and branch operations. Participants should have a good understanding of the overall FE Policy and its due diligence measures.

PROGRAMME OUTLINE

Overview of FE Policy

- FE rules by Balance of Payment components
- Laws applicable to the FE Policy

Rules on Borrowing and Guarantee (Notice 2)

- Key pointers and requirements
- Classifications of borrowing, lending & guarantee
- Rules and due diligence measures on:
 - » Borrowing by resident individual and entity in:
 - » Ringgit from non-resident
 - » foreign currency from resident and non-resident
 - » Borrowings by non-residents in Ringgit from resident
 - » Exchange of debts
 - » Financial & non-financial guarantee

Case Scenarios

- Borrowing by residents in Ringgit from non-resident
- Borrowing by residents in foreign currency from resident and non-resident
- Borrowing by non-residents in Ringgit and foreign currency from resident
- Issuance and obtaining financial guarantee
- Repayment arising from financial guarantee

ABOUT THE TRAINER

SHAHRUL ADZUAN AHMAD

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His working experience includes branch operations, credit, training administration support as well as developing, delivering, and facilitating training programmes. The training programmes that he has conducted include Foreign Exchange Policy (formerly known as Foreign Exchange Administration Rules), credit, AML/CFT, leadership development and soft skills. At the Asian Banking School, Shahrul has conducted multiple training programmes relating to the Foreign Exchange Policy, Certified Credit Executive (CCE) and Retail Credit Professional (RCP). He also maintains a steady working relationship with the Foreign Exchange Policy Department of Bank Negara Malaysia for regular industry updates and training requirements as well as matters related to the Foreign Exchange Policy.

Shahrul holds a Bachelor of Science degree in Human Resources Development from the University of Technology Malaysia and a Diploma in Banking Studies from the Institute of Technology MARA (now known as the University of Technology MARA). He is a Certified Training Professional (CTP), DiSC - Certified Behavioural Consultant (CBC) and Certified HRDF Trainer.

FOREIGN EXCHANGE POLICY: APPLICATION OF RULES ON INVESTMENT IN FOREIGN CURRENCY ASSETS (NOTICE 3)

The Foreign Exchange Policy (FE Policy) is part of broad prudential toolkits that generally aim to preserve Malaysia's monetary and financial stability. The policy has become a 'compliance-focused area' among financial institutions in Malaysia and bank officers should have comprehensive understanding of the policy to ensure reasonable compliance and adopt market standards in due diligence processes.

This one-day programme is designed to enable participants to appraise the FE Policy rules in Notice 3 on investment transactions for foreign currency assets. Participants will learn the relevant rules and due diligence measures and will also be provided with several case studies for discussion which will enhance their knowledge and skills in appraising and applying the rules.

PROGRAMME DETAILS

WHEN

24 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292191

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the rules of Investment in Foreign Currency Assets of the FE Policy
- Identify key due diligence measures relevant to the respective rules
- Apply the rules with its appropriate due diligence measures to ensure compliance

TRAINING METHODOLOGY

Interactive presentation, quizzes and group discussion of case study

PARTICIPANT PROFILE

Banking executives (Managers and Officers) with at least 2 years of experience in business unit and branch operations. Participants should have a good understanding of the overall FE Policy and its due diligence measures.

PROGRAMME OUTLINE

Overview of FE Policy

- FE rules by Balance of Payment components
- Laws applicable to the FE Policy

Rules on Investment in Foreign Currency Assets (Notice 3)

- Key pointers and requirements
- Classifications of investment transactions
- Rules and due diligence measures for investment in foreign currency asset by:
 - » resident individuals
 - » resident entity
 - » resident intermediaries

Case scenarios

- Investment in Foreign Currency Asset by resident individual
- Investment in Foreign Currency Asset by resident entity

ABOUT THE TRAINER

SHAHRUL ADZUAN AHMAD

Shahrul Adzuan Ahmad is a Training Consultant at the Asian Banking School and has 27 years of working experience in the banking sector, with 24 of those years doing training and development at several banks.

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Shahrul holds a Bachelor of Science degree in Human Resources Development from the University of Technology Malaysia and a Diploma in Banking Studies from the Institute of Technology MARA (now known as the University of Technology MARA). He is a Certified Training Professional (CTP), DiSC - Certified Behavioural Consultant (CBC) and Certified HRDF Trainer.

IMPLEMENTING AND MANAGING E-KYC

This one-day programme will provide participants with an understanding and analysis of the sanctions regime including the failures of financial institutions and global firms around the world in breaching sanction rules. Leveraging on group-based activities and using case examples/ common regulatory/audit findings, the programme will also provide participants the ability to leverage on industry-wide best practices in adhering/managing sanctions risk.

PROGRAMME DETAILS

WHEN

11 November 2024
9:00 am – 5:00 pm

WHERE

Online via Zoom

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001415221

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Define e-KYC and know the objectives and uses of e-KYC
- Apply e-KYC standards according to regulatory requirements
- Comply with e-KYC requirements in the conduct of CDD and ECDD
- Address specific identification issues and e-KYC challenges
- Construct and implement the overall e-KYC framework to meet legal and regulatory requirements and expectations

TRAINING METHODOLOGY

Lecture with presentations, case studies, examples and discussions

PARTICIPANT PROFILE

Senior management, compliance officers, credit officers in business and corporate banking and finance, business lines, regulators and supervisors

PROGRAMME OUTLINE

Definition, Objectives and Uses of eKYC

- The history and rationale of the e-KYC requirements
- Definition of a customer or client
- On-boarding customers and developing the customer's transaction profile
- Uses and effectiveness of e-KYC application in businesses
- The Importance of e-KYC

e-KYC Standards and Regulatory Requirements

- The legal and regulatory framework
- Compliance programme
- Customer acceptance policy
- Ongoing monitoring
- e-KYC reporting requirements

Application and Implementation of e-KYC

- The AML policy and procedures, guidelines or manuals
- Standards, guidelines and policy documents
- Conducting Customer Due Diligence (CDD)
- Conducting Enhanced Customer Due Diligence (ECDD)
- Challenges regarding non-face-to-face customers

Procedure for Customer Identification and Verification Through e-KYC

- Identification procedure at different stages
- Establishing business relationships and onboarding processes
- When carrying out a financial transaction
- Customer research and verification
- When the authenticity, veracity or adequacy of previously obtained customer identification data is in doubt
- Proof of identity – establishing identity and establishing present address
- Developing the customer's profile

- e-KYC for existing account-holders
- Confidentiality of information collected and data protection under e-KYC

Constructing and Implementing the e-KYC Framework

- Group policies
- Risk assessments
- Roles and responsibilities
 - » Board of directors, Senior Management, Audit, the MLRO/Chief Compliance Officer, the Compliance Function, HR, training, risk, IT and other critical departments Managers and Supervisors, Business Lines and all employees
- Requirement of corporate governance and integrity of all employees
 - » Training and awareness
 - » Monitoring and record keeping
 - » Reporting requirements – Threshold reporting and Suspicious Transaction Reporting (STR)
 - » Dealing with the authorities and enforcement agencies
 - » Audit
 - » Management Information (MI) and oversight
- Identification and verification through e-KYC
- Ensuring effective e-KYC implementation
- Additional safeguards to facilitate deployment of e-KYC solutions

e-KYC challenges

- The e-KYC challenges and difficulties
- Ways to address e-KYC challenges
- Tips for successful implementation and management of e-KYC
- Meeting expectations and requirements of regulators and stakeholders

ABOUT THE TRAINER

DATIN DR LEE MEI PHENG

Datin Dr Lee Mei Pheng is a senior partner, banking law consultant and practitioner at Detta Samen & Co Advocates, one of the most established law firms in Sarawak. She is an Advocate and Solicitor of the High Court in Malaya and an Advocate of the High Court in Sabah and Sarawak.

She had previously served at OCBC Bank in Malaysia as Head of the Legal department and Assistant Vice President of the Kuching Branch in Sarawak for ten years and since 1984, been a leading consultant and lecturer for international financial institutions and large corporations. She is also a course director for Euromoney Training in Hong Kong. Her other professional contributions include being a consultant, commissioned author, editorial reviewer and specialist for LexisNexis, AICB and Oxford publications, an external assessor for business and company Law at Wawasan Open University, Penang and author of many publications.

Datin Dr Lee holds a Doctor of Philosophy (Laws) from the University of Liverpool, England, specialising in Banking and Finance Law, with her PHD research on banking fraud. She also holds an LLB First Class Honours degree from the University of Malaya and was a Fellow of the Faculty of Law. She resides on the Gold Coast, Australia and since 2000, has been an Adjunct Associate Professor with the Faculty of Law at Bond University, Queensland, Australia. She is a Fellow of the Financial Services Institute of Australasia and Tim Fischer Centre for Global Trade and Finance, as well as a Member of the Law Advisory Board, Bond University, Australia. She is also an Associate Fellow of the Institute of Bankers, Malaysia (now known as Asian Institute of Chartered Bankers or AICB).

Presently, she is also a member of the Institute of Company Directors Malaysia and the Singapore Institute of Directors.

DECIPHERING THE ROLE OF INTEREST RATES IN CREDIT ANALYSIS

An interest rate is the cost of borrowing money. Or, on the other side of the coin, it is the compensation for the service and risk of lending money. In both cases, it keeps the economy moving by encouraging people to borrow, to lend, and to spend.

Prevailing interest rates are always changing, and different types of loans offer different interest rates. If you are a lender, you must understand the reasons for these changes and differences. Moreover, the lender is also critical to proactively make the necessary adjustments in the credit lending process and credit structure arising from interest rate change.

This one-day programme aims to equip bankers with the ability to forecast global interest rate movements and their implications on overnight policy rates in Malaysia, offer comprehensive explanations of different lending rates to potential borrowers, and effectively anticipate and manage the effects of interest rate changes on the credit lending process and credit structure.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Forecast global interest rate movement and its impact on Overnight Policy rates in Malaysia
- Provide solid explanations to potential borrowers on various types of lending rates
- Foresee and manage the impact of interest change on the credit lending process and credit structure

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Junior credit banking officer

PROGRAMME DETAILS

WHEN

26 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001413910

PROGRAMME OUTLINE

The mechanism of global interest rate change

Types of lending rates

Relationship between interest rate and inflation, currency exchange rate, bond price & time value of money

Impact of interest change on conventional credit lending process and digital lending

ABOUT THE TRAINER

DR CHIN NYUK SANG

Dr. NS Chin is a trainer and consultant for small, medium enterprises (SME) in the area of fund raising. He is also facilitating an FSTEP session related to central banking.

A PhD holder in Finance graduate and from the Multimedia University, Dr. Chin had served Bank Negara Malaysia (BNM) for 29 years in various capacities. He started as a banking supervisor to regulate and supervise all banking institutions in Malaysia; followed by an involvement in corporate debt restructuring during the Asian Financial Crisis in 1997. In 2006, he was seconded to Agensi Kaunseling Dan Pengurusan Kredit (AKPK) and headed the Ipoh branch for 2 years. Finally, as a performance consultant, Dr. Chin was tasked to strengthen the capacity building of BNM staff in their core areas including financial inclusion, sustainable development and economic policy development.

Dr. Chin is a certified trainer by the Association for Talent Development in the USA and the Human Resource Development Corporation in Malaysia. As a part-time senior lecturer with Universiti Malaya, Dr. Chin has conducted numerous face-to-face classes primarily on international banking, financial economics, monetary economics, international finance & emerging economic markets. Dr. Chin holds an associate membership of Asian Institute of Chartered Banker.

Learning Level | Intermediate

MACHINE LEARNING FOR CREDIT SCORING AND RISK MANAGEMENT

This one-day programme focuses on the application of machine learning in credit scoring and risk management within the financial domain (banks and financial institutions). Participants will gain insights into credit scoring and risk assessment methodologies, explore data preprocessing and feature engineering techniques, and understand the application of various machine learning algorithms. The programme also covers model interpretability and explainability, presents real-world case studies in credit risk, addresses ethical considerations and fair lending practices, and concludes with a hands-on activity guiding participants in building a credit scoring model.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand credit scoring and risk assessment principles in finance
- Apply data preprocessing and feature engineering techniques for credit data
- Implement machine learning algorithms for credit scoring
- Interpret and explain machine learning models for credit risk
- Analyse real-world case studies to gain practical insights
- Navigate ethical considerations and promote fair lending practices
- Gain hands-on experience in building a credit-scoring model

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Senior managers, managers, and executives from financial institutions

PROGRAMME DETAILS

WHEN

6 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001413714

PROGRAMME OUTLINE

Credit Scoring and Risk Assessment in Finance

Data Preprocessing and Feature Engineering

Machine Learning Algorithms for Credit Scoring

Model Interpretability and Explainability

Real-world Case Studies in Credit Risk

Ethical Considerations and Fair Lending

Hands-on Activity: Building a Credit Scoring Model

Q&A and Discussion

ABOUT THE TRAINER

PETER KUA

Peter Kua is the co-founder and CEO of GradientX. His responsibilities include finding ways data can be used as a competitive advantage as well as identifying new business opportunities with data. He also heads the Data Science team in REV Media Group (formerly known as Media Prima Digital) and was instrumental in driving the National Big Data Analytics Initiative under MDEC in the areas of thought leadership and industry development. He played a key role in developing the first National BDA Framework that delivered strategic recommendations and action plans to achieve the National BDA vision.

Peter has conducted training in areas that include public masterclasses in Big Data Strategy for NTT Data, PAS Selangor, CIIF, Pos Aviation Hitachi, FGV, Perodua Maxis, SIRIM, and Principal Asset Management CIMB. In addition, he also trained several in-house Big Data Strategy workshops for organisations such as OCBC, Keysight, TNB, TM One, and Johnson and Johnson. During the MCO period, Peter conducted several Big Data Strategy virtual classes for Citibank, Alliance Bank, Bank Islam, Intel, Osram, Dell, Sarawak Energy, Optics Balzers Penang Port, and Maxis Broadband. Peter's core professional strengths include data science and big data strategies, web development and project management. His industry experience includes the media, internet, manufacturing, FMCG, e-learning and agriculture.

JEYSHALINI TEVOSHA

Jeyshalini Tevosha is a Data & AI Consultant at GradientX, where her expertise plays a pivotal role in strategically harnessing data and Artificial Intelligence to drive superior business outcomes. Currently pursuing her master's in Applied Computing at UM, Jeyshalini is set to graduate in November, 2024. She holds a BSc in Artificial Intelligence from UTeM, Malaysia, awarded in 2022, complemented by a foundational education in Science from the Penang Matriculation College. She is also a HRD Corp Certified Trainer. Jeyshalini's previous roles include being a Software Development Engineer at Curlec by Razorpay and a Digital & Innovation Executive at Hong Leong Bank Berhad.

Learning Level | Intermediate

AGILE INTERNAL AUDITORS IN A VUCA ENVIRONMENT

The banking landscape is undergoing rapid transformation, driven by technological advancements, evolving customer expectations, and increasing regulatory pressures. This demands a new breed of internal auditors equipped to navigate the complexities of the VUCA (Volatile, Uncertain, Complex, Ambiguous) world. This two-day programme equips the participants with the knowledge, skills, and mindset to foster a future-proof internal audit function, aligned with the organisation's strategic goals and contributing significantly to its success.

PROGRAMME DETAILS

WHEN

4 – 5 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001384411

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Navigate the ABCDI landscape
- Developing psychologically safe teams
- Adapt to the evolving audit landscape
- Align internal audit with business strategy

TRAINING METHODOLOGY

Lecture, case studies, quizzes, Kahoot, slides, videos and group assignments

PARTICIPANT PROFILE

Internal Auditors

PROGRAMME OUTLINE

Module 1: Navigating the ABCDI Landscape

In today's dynamic banking environment, staying ahead of the curve is crucial for both traditional institutions and new players. This module equips you, as an internal auditor, with the knowledge and understanding necessary to navigate the ever-changing landscape and ensure your function remains relevant and impactful.

- Impact of ABCDI on Internal Audit: Explore how Artificial Intelligence (AI), Blockchain, Cloud Computing, Data Analytics, and the Internet of Things (IoT) are reshaping the audit landscape. Understand their potential applications for enhanced risk assessment, continuous monitoring, and data-driven insights.
- Rise of Challenger Banks and Neo Banks: Analyse the competitive threats posed by these agile players and learn how incumbent banks are leveraging technology and innovation to compete effectively.

Module 2: Developing Psychologically Safe Teams: Explore strategies to build trust, encourage open communication, and promote learning from mistakes within your internal audit function.

In today's dynamic and competitive banking environment, fostering a culture of psychological safety within your internal audit function is no longer optional, it's essential. This module equips you, as an internal audit leader, with the tools and strategies to create a safe space for experimentation, encourage risk-taking, and cultivate a growth mindset

PROGRAMME OUTLINE (CONT'D.)

within your team. By fostering psychological safety and collaboration, you can unlock your team's full potential and ensure your internal audit function plays a vital role in driving success.

- **Leadership Role in Cultural Transformation:** Learn how to create a safe space for experimentation, encourage risk-taking, and build a growth mindset within your internal audit team. Understand how your leadership style can foster psychological safety and collaboration.
- **DBS Bank: Building a Culture of Psychological Safety (Internal Audit Focus).** Deep dive into DBS Bank's journey from "Damn Bloody Slow" to a top digital bank. Analyse how their leadership transformed the culture and identify key lessons applicable to your own context. Prior to its transformation, DBS Bank's internal audit function was known for its rigid, hierarchical structure and risk-averse culture. This stifled innovation, discouraged open communication, and hindered the team's ability to adapt to the rapidly evolving landscape of the banking industry.

Module 3: Thriving in the Digital Age: Evolving Roles and Skills for Bank Internal Auditors

The banking landscape is being reshaped by rapid technological advancements, evolving customer expectations, and ever-increasing regulatory complexities. This demands internal audit functions to adapt and evolve to remain relevant and add value in this dynamic environment. This module equips you, as an internal auditor, with the knowledge and skills needed to thrive in the digital age and contribute significantly to your bank's success.

- **Blockbuster vs. Netflix Case Study:** Learn from the contrasting fortunes of these companies to understand the critical role of innovation and agility in today's business environment. Analyse how internal audit functions can contribute to strategic decision-making.
- **Emerging Roles and Skills for Internal Auditors:** Identify the technical and soft skills required for success in the digital age, focusing on data analysis, critical thinking, automation, and collaboration.
- **Reskilling and Upskilling Strategies:** Develop a roadmap for continuous learning and development within your internal audit team to ensure they remain future-proof and equipped to address emerging risks.

Module 4: Partnering for Progress: Aligning Internal Audit with Bank Strategy

Gone are the days when internal audit operated in isolation. In today's dynamic banking landscape, success hinges on strategic alignment with your bank's overall goals and risk management priorities. This module empowers you, as an internal auditor, to transform your function into a valuable partner, contributing meaningfully to your bank's journey towards progress.

- **OCBC Bank's Design Thinking Success:** Explore how OCBC captured a significant market share by understanding their customers' needs and applying innovative approaches. Learn how internal audit can leverage design thinking to improve their own practices.
- **Mapping Internal Audit to Strategic Objectives: A VUCA-Ready Framework for Digital Banking.** In the dynamic and unpredictable landscape of digital banking, ensuring your internal audit function is aligned with the organisation's strategic goals and risk management priorities is vital. This framework empowers you to navigate the VUCA environment and contribute meaningfully to your bank's success.

ABOUT THE TRAINER

DR PARAMSOTHY VIJAYAN

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

Dr Vijay holds an Honours Degree in Accounting and Finance from Scotland, a Master of Science Degree in Multimedia Technology (Banking), a Doctor of Business Administration (Banking Strategy and Marketing) from Australia, and a Doctor of Philosophy (Knowledge Management in Banking) from Malaysia. He recently successfully completed his Chartered Banker MBA from the Bangor Business School. He is also a Certified Training Professional (ARTDO) and a Certified HRD Corp trainer.

Learning Level | Intermediate

ARTIFICIAL INTELLIGENCE IN BANKING AND FINANCE

This one-day programme is designed to provide banking and finance professionals with a good understanding of the applications, challenges, and ethical considerations of implementing artificial intelligence in the financial industry. The programme content includes theoretical concepts, hands-on exercises, case studies and discussions to ensure practical knowledge and skill development.

PROGRAMME DETAILS

WHEN

14 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001414396

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the fundamental concepts of artificial intelligence in relation to banking and finance
- Understand the capabilities and limitations of AI technologies in financial applications
- Discuss emerging trends and technologies in AI for banking and finance

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Middle to senior level executive

PROGRAMME OUTLINE

Introduction to AI in Banking and Finance

- Definition and Overview of Artificial Intelligence
- Importance of AI in the Financial Industry
- Historical Development and Evolution of AI in Finance

AI Technologies for Financial Services

- Machine Learning and; Its Applications in Finance
- Natural Language Processing (NLP) in Banking
- Computer Vision and Image Recognition in Finance

Data Management and Preprocessing in Finance

- Importance of Quality Data in AI Applications
- Data Collection, Cleaning, and Integration
- Handling Big Data in the Financial Sector

Predictive Analytics and Credit Scoring

- Machine Learning Models for Credit Risk Assessment
- Predictive Analytics in Loan Approval Processes
- Fair Lending and Ethical Considerations

Algorithmic Trading and Financial Markets

- Introduction to Algorithmic Trading
- Role of AI in Financial Market Prediction
- High-Frequency Trading and Risk Management

Customer Service and Personalisation

- AI-Powered Customer Service in Banking
- Personalised Financial Recommendations
- Chatbots and Virtual Assistants in Finance

Fraud Detection and Security

- AI-based Fraud Detection Systems
- Cybersecurity in Banking with AI
- Biometric Authentication and Privacy Concerns

AI in Wealth Management

- Robo-Advisors and Automated Investment Platforms
- AI-enhanced Portfolio Management
- WealthTech Trends and Innovations

Ethical and Regulatory Considerations in AI

- Ethical Dilemmas in Financial AI
- Regulatory Guidelines and Compliance
- Addressing Bias and Fairness in Financial AI

Future Trends and Emerging Technologies

- Exploring the Future of AI in Banking and Finance
- Emerging Technologies and their Impact
- Continuous Learning and Adaptation in the Industry

Case Studies: AI Implementation in banking and finance

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

Learning Level | Intermediate

CHATBOTS AND VIRTUAL ASSISTANTS IN BANKING

This one-day programme provides participants with a deep understanding of the transformative role of Chatbots and Virtual Assistants in the banking sector. Participants will engage in practical applications, gaining hands-on experience and theoretical knowledge in designing, building, and deploying conversational interfaces for enhanced customer engagement and service.

PROGRAMME DETAILS

WHEN

1 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001414645

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand the significance of Chatbots and Virtual Assistants in banking
- Explore diverse use cases for transforming banking services
- Design conversational user interfaces that enhance user experience
- Build and deploy effective Chatbots in a banking environment
- Enhance customer services and engagement through virtual assistants
- Address security and data privacy considerations associated with Chatbots
- Participate in interactive demonstrations showcasing Chatbot functionalities

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Senior managers, managers, and executives from banks & financial institutions

PROGRAMME OUTLINE

Chatbots and Virtual Assistants Overview

Use Cases in Banking

Designing Conversational User Interfaces

Building and Deploying Chatbots

Enhancing Customer Services and Engagement

Security and Data Privacy Considerations

Interactive Demonstrations

Q&A and Discussion

ABOUT THE TRAINER

JEYSHALINI TEVOSHA

Jeyshalini Tevosha is a Data & AI Consultant at GradientX, where her expertise plays a pivotal role in strategically harnessing data and Artificial Intelligence to drive superior business outcomes. Currently pursuing her master's in Applied Computing at UM, Jeyshalini is set to graduate in November, 2024. She holds a BSc in Artificial Intelligence from UTeM, Malaysia, awarded in 2022, complemented by a foundational education in Science from the Penang Matriculation College. She is also a HRD Corp Certified Trainer. Jeyshalini's previous roles include being a Software Development Engineer at Curlec by Razorpay and a Digital & Innovation Executive at Hong Leong Bank Berhad.

Learning Level | Intermediate

DIGITAL TRANSFORMATION: "BANKING IS NECESSARY, BANKS ARE NOT"

It has taken years to fully understand what Bill Gates meant when he said, "Banking is necessary, banks are not". He said it in 1994 and it is becoming true. Advances in technology are causing the banking industry to develop faster with the focus on efficiency, transparency and better customer experience. The current digital revolution is disrupting the way people bank, directed by evolving customer needs, behaviours and expectations. Customers now expect an anytime, anywhere personalised user experience – i.e., banking everywhere, not necessarily at a bank!

At the same time, Covid-19 has disrupted 'normal' banking and reset it to the 'new normal' to where traditional standard operating procedures were dismantled in favour of agility and the use of online technology to tackle unforeseen marketplace disruption. It exposes the importance of digital offerings to maintain customer onboarding, customer relationship and customer centric mobile banking facilities.

Now more than ever, traditional bankers need to adapt and evolve in order to face the challenges ahead as challenger banks and new FinTech companies explore and experiment with innovations and new technologies to build or create the bank of the future.

This intensive one-day programme presents the overview of what digital transformation means, what role they will play, and assist in preparing bank staff for the future when banks will shift their concentration to new technologies.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Recognise and take advantage of new opportunities presented by FinTech
- Understand the key fundamental technologies and principles behind the evolution of FinTech
- Obtain market intelligence and insights of established FinTech models in leading markets
- Comprehend the ever-evolving customer behaviour in banking
- Identify gaps at the current workplace and conceptualise changes with FinTech models
- Understand why digital transformation fails

TRAINING METHODOLOGY

Lectures, case studies and video presentations

PARTICIPANT PROFILE

Executives who desire to upskill themselves to embrace the digital transformation in banking

PROGRAMME DETAILS

WHEN

23 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001155743

PROGRAMME OUTLINE

Module 1

What is Disrupting Banking and Finances?

- The importance of technology in Finance
- Evolution of banking and the mobile revolution
- FinTech innovation
- Adoption of emerging technologies
- Case Study – Ant Financial

Module 2

The ABCDs of Future Banking

- AI – Artificial Intelligence
- Block Chains
- Cloud Computing
- Data is the new oil
- Case Study – AI and Data Analytics

Module 3

Emerging Innovation in FinTech

- Challenger and Neo banks
- Payment Systems
- Peer to Peer Lending
- Crowd Funding
- FinTech competitors in Malaysia (2020)
- Case Study – Challenger/Neo Banks

Module 4

Digital Transformation

- First Principles Design Thinking
- Agile organisation - cultivating a digital culture
- Technology fallacy and human capital
- Case Study – Digital Transformation of DBS

ABOUT THE TRAINER

DR VIJAYAN PARAMSOTHY

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

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Learning Level | Intermediate

EXPLAINABLE AI IN BANKING AND FINANCE

This programme is tailored to demystify the applications of Explainable AI (XAI) in the banking and finance sector. Participants will gain a solid understanding of the principles behind Explainable AI and its relevance to financial decision-making. Through real-world applications and hands-on exercises, participants will learn how to interpret AI models and explore ethical considerations associated with XAI.

PROGRAMME DETAILS

WHEN

10 December 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001415483

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Comprehend the fundamentals of Explainable AI
- Analyse the specific applications of Explainable AI in the context of banking and finance
- Understand the importance of interpretability in financial decision-making
- Apply hands-on exercises for model interpretation
- Address ethical and compliance considerations related to Explainable AI

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Senior managers, managers, and executives from financial institutions

PROGRAMME OUTLINE

Introduction to Explainable AI

Explainable AI in Finance

Interpretability in Financial Decision-making

Real-world Applications

Hands-on Exercises for Model Interpretation

Ethical and Compliance Considerations

Q&A and Discussion

ABOUT THE TRAINER

PETER KUA

Peter Kua is the co-founder and CEO of GradientX. His responsibilities include finding ways data can be used as a competitive advantage as well as identifying new business opportunities with data. He also heads the Data Science team in REV Media Group (formerly known as Media Prima Digital) and was instrumental in driving the National Big Data Analytics Initiative under MDEC in the areas of thought leadership and industry development. He played a key role in developing the first National BDA Framework that delivered strategic recommendations and action plans to achieve the National BDA vision.

Peter has conducted training in areas that include public masterclasses in Big Data Strategy for NTT Data, PAS Selangor, CIIF, Pos Aviation Hitachi, FGV, Perodua Maxis, SIRIM, and Principal Asset Management CIMB. In addition, he also trained several in-house Big Data Strategy workshops for organisations such as OCBC, Keysight, TNB, TM One, and Johnson and Johnson. During the MCO period, Peter conducted several Big Data Strategy virtual classes for Citibank, Alliance Bank, Bank Islam, Intel, Osram, Dell, Sarawak Energy, Optics Balzers Penang Port, and Maxis Broadband. Peter's core professional strengths include data science and big data strategies, web development and project management. His industry experience includes the media, internet, manufacturing, FMCG, e-learning and agriculture.

JEYSHALINI TEVOSHA

Jeyshalini Tevosha is a Data & AI Consultant at GradientX, where her expertise plays a pivotal role in strategically harnessing data and Artificial Intelligence to drive superior business outcomes. Currently pursuing her master's in Applied Computing at UM, Jeyshalini is set to graduate in November, 2024. She holds a BSc in Artificial Intelligence from UTeM, Malaysia, awarded in 2022, complemented by a foundational education in Science from the Penang Matriculation College. She is also a HRD Corp Certified Trainer. Jeyshalini's previous roles include being a Software Development Engineer at Curlec by Razorpay and a Digital & Innovation Executive at Hong Leong Bank Berhad.

INTRODUCTION TO INVESTMENT BANKING

This one-day programme will provide participants with an understanding of the roles and responsibilities of licensed intermediaries and other representatives, and the regulations governing the business activities and market practices in the Malaysian capital markets. It will also provide an overview of Investment Banking, the functions of various areas and functions within an Investment Bank and the common forms of Investment Banking products, services and solutions.

PROGRAMME DETAILS

WHEN

21 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292134

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Identify the overall financial systems and regulatory framework that affects the local capital markets
- Explain capital and how they can be used by Investment Bankers to help their clients
- Understand the roles and functions of investment banks

TRAINING METHODOLOGY

Lecture, case studies, exercises and discussions

PARTICIPANT PROFILE

Retail and commercial bankers and anyone who is interested in the fundamentals of Investment Banking

PROGRAMME OUTLINE

Introduction

- What is financial and capital markets
- What is investment banking
- Roles and function of investment banks
- Inside an investment bank - sell vs. buy side
- Regulations governing Malaysian capital markets

Inside an Investment Bank

- Capital markets
- Financial advisory
- Trading and brokerage
- Research
- Asset management

Investment Banking products and services

- Debt and fixed income
- Equities
- IPOs
- Corporate finance
- Sales and trading

The Future of the Investment Banking Landscape

- FinTech
- Big Data, AI and algorithms
- Blockchain
- Robotic process automation

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

THE PSYCHOLOGY OF FINANCE AND INVESTMENT DECISIONS

“The investor’s chief problem - and even his worst enemy - is likely to be himself.”

Benjamin Graham, 20th-century American economist and famous value investor

Behavioural finance studies the biases and influences that affect the financial behaviours of investors and financial practitioners. It recognises that our abilities to make difficult and complex financial decisions are limited due to the biases and errors of judgement to which humans are susceptible. Being aware of the principles of behavioural finance can help investors check their perceptions against facts.

In this one-day programme, you will learn about the wide range of biases in decision making and information processing errors affecting judgments in finance. It examines cognitive biases, discusses the impact of biases on financial decision-making and also explores the behaviour of investors, fund managers and corporate decision makers. Understanding the different types of biases can be extremely valuable in studying and managing better market outcomes. Advisors who understand the psychological or emotional factors that influence investors to behavioural biases can differentiate their services and better serve their clients.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe the common errors in trading and financial markets
- Identify the main behavioral biases and heuristics which can affect market outcomes
- Analyse social factors that distort decision-making in financial markets

TRAINING METHODOLOGY

Lecture, case studies, exercises and discussions

PARTICIPANT PROFILE

Investors, fund managers, traders and anyone interested in understanding behavioural finance and how it affects the markets and corporate decision making

PROGRAMME DETAILS

WHEN

4 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001155965

PROGRAMME OUTLINE

Introduction

- Behavioural finance and decision-making biases: how psychological influences can affect market outcomes
- Reflective vs reflexive approaches to decision making
- Heuristics and mental short-cuts: make decision-making simpler and faster through shortcuts and good-enough calculations but it can also be prone to bias and errors in judgment
- Why investors are often irrational: the cyclical investment process is laden with psychological pitfalls. Only by avoiding inherent behavioral biases can investors hope to reach impartial decisions

Case Study

- Traditional Finance vs Behavioural Finance - how investors' "humanness" can lead them to make sub-optimal financial decisions they would not make if they were entirely rational as traditional finance theory supposes them to be

Self-deception Biases

- Self-deception can severely limit the way we learn. When we mistakenly think we know more than we actually do, we tend to miss information that we need to make an informed decision. It is important to learn how self-deception biases impact decision making in finance and trading
- Overconfidence bias: overconfidence is the driving force behind the irrational exuberance that can cause a market to crash. People who feel more financially secure than they actually are may spend too much or not save enough. Or they may be overactive traders who believe they can time their investment decisions to beat the market but end-up doing the opposite
- Illusion of knowledge and control: why people think they can control random and uncontrollable events and why online traders tend to trade more frequently than they should
- How to guard against self-deception biases

Case Study

- The trouble with confidence (according to Daniel Kahneman - the "Father" of Behavioural Finance)

Cognitive Biases

- Hindsight bias: regrets and why this leads to investors unknowingly making poor decisions going forward
- Confirmation bias: why investors often do not always behave rationally and why this supports argument that the market behaves inefficiently
- Representative bias: tendency of decision-makers to make decisions based on stereotypes, to see patterns where perhaps none exist
- Anchoring bias: people rely too much on pre-existing information or the first information they find. They anchor their decision on mental reference points
- How to guard against cognitive biases

Case Study

- How cognitive biases can impact investment decisions and what can be done to control this?
- How to improve your daily decision making: top cognitive biases to avoid?

Emotional Biases

- Overview of emotional biases
- The narrative fallacy: we love stories and we let our preference for a good story cloud the facts and our ability to make informed decisions
- Loss aversion and prospect theory
- How to guard against emotional biases: looking towards logic rather than emotion in investment decisions

Case Study

- Overcoming emotional biases to have a successful investing experience
- Theranos and Elizabeth Holmes - how everyone was fooled by narrative

Social Biases

- Herding bias: many investors often follow what they perceive other investors are doing rather than make decisions based on their own analysis
- Impact of social influence on investor's trading behaviour, for example sell-offs and rallies
- Guarding against social and herding biases

Case Study:

- Herd mentality in the financial markets
- How to break from the crowd and be a successful investor

Conclusion: be aware of biases that affect investment decisions

Exercise and discussion: How to overcome "investor irrationality?"

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

AGILE BANK LEADERS IN A VUCA ENVIRONMENT



Some leaders try to stand firm like oak trees against the turbulent changes coming their way. They believe in 'tightening the nuts and bolts', reinforcing their controls until the storm passes. Other leaders are like bamboo, prepared to flex and be agile during turbulence. Today's leaders and their organisations must have the ability to respond creatively to unpredictable challenges and opportunities in the VUCA (Volatility, Uncertainty, Complexity and Ambiguity) world.

Peter Drucker said the greatest danger in times of turbulence is not turbulence itself, but to act with yesterday's logic. For successful digital transformation, organisations are looking for high performance leaders who are intelligent, adaptive, hyperaware, and have high emotional and social communication skills to ensure future sustainability. The programme discusses how global challenges such as emerging technology, sustainability, and geopolitical challenges are making leaders realise that the hierarchical and linear approach to leadership is no longer working and a new narrative is required to lead in a complex adaptive environment.

Leaders need to be intuitive and explore new reasoning to lead during disruptive times. Agility is not just about technology but the ability to take swift actions due to disruptive innovation such as AI, block chain, cloud computing and data analytics. Today's customers are more demanding and hence, it is necessary to create a compelling customer experience journey by applying human centre design and job-to-be-done theories in product development. Successful digital transformation is not just technology transformation, but also cultural transformation. This two-day programme will discuss cases on how international banks have successfully transformed their once bureaucratic structure into an agile organisation.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand ABCDI - the impact that technology is having on different sectors of finance, especially Artificial Intelligence, Block Chain, Cloud Computing, Data Analytics, and Internet of Things. (Case studies – Neo & Challenger Banks, Digital banks in Malaysia and Asian Region, Application of Block Chain in Smart Contract Trade Finance).
- Define the role of a High-Performance Agile Leader (H-PAL) to successfully bring about cultural and digital transformation by creating a safe place for employees to innovate, setting challenging goals, being empathetic and having resilience to fail fast and learn fast. (Case study – Leadership Style of DBS Bank CEO – Piyush Gupta).
- Explain how the digital revolution is having a significant impact on the future of work by creating new roles and demands for hiring new skills, including the need to adapt and accelerate upskilling/reskilling, fresh approach to learning and development and retaining the right talent (Case study – Judo Bank Australia).
- Examine the impact disruptive technology has on current management practices and the future role of H-PAL in aligning organisation culture and people strategy with sustainable business strategy. (Case Study – Netflix, OCBC Singapore).
- Evaluate using a case-based approach, how established banks can respond to the emergence of fintechs and digital banks from the standpoint of Strategy, Leadership, Culture, Technology and Customers.

PROGRAMME DETAILS

WHEN

16 – 17 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001304644

TRAINING METHODOLOGY

Lecture, case studies, quizzes, Kahoot, slides, videos, and group assignments

PARTICIPANT PROFILE

Middle Management Staff

PROGRAMME OUTLINE

Module 1: Examines the key technologies, i.e., ABCDI that is transforming banking today. Understand the rise of challenger banks and neo banks that Bank Negara Malaysia states may take 25% of the market share of incumbent banks. The five digital banks in Malaysia and others in the ASEAN region are explored. We look at how Fintechs are challenging incumbent banks in the payment, lending and eWallet space.

Module 2: Examines the role of H-PAL in creating a culture of psychological safety in digital and cultural transformation. The module discusses how DBS Bank in Singapore transformed from a 'Damn Bloody Slow' bureaucratic government bank to become the top Digital Bank of Singapore for four consecutive years. The case study examines how by applying first principle strategy and leadership thinking the DBS management team successfully transformed DBS.

Module 3: Examines the digital bank, Judo Bank Australia's journey to transform its culture. The reason for the change is because the current cultural model and systems were not future ready. Judo Bank embarked on a journey to transform its culture to deliver on the people, strategy, and priorities, ultimately accelerating the execution of the business strategy via a modern digital workforce.

Module 4: Examines the role of H-PAL in rethinking the organisation's business model. We will examine the case of Blockbuster which was one of the most profitable companies in the world that was almost unchallenged until a small start-up called Netflix killed Blockbuster through innovation and building a culture of innovation and empowerment. We will also look at OCBC Bank, Singapore which by understanding the customer's journey and applying design thinking captured more than 50% of the underbanked graduate students in Singapore.

ABOUT THE TRAINER

DR VIJAYAN PARAMSOTHY

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

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THE FUTURE OF BANKING

The global banking industry is facing a serious challenge as more consumers manage their personal finances using smartphones. Rapidly increasing demand for digital banking from millennials is transforming the global banking industry. Faced with changing consumer expectations, emerging technologies and new business models, banks need to start putting strategies in place now to help them prepare for the future.

The future of banking will be faster, smarter, more accessible and with more choices to customers than before. With financial technology firms and new challengers growing at an increasing rate and technology becoming more advanced in global banking, innovation is key for banking in the 21st century.

This one-day programme will examine the forces driving the changes in banking today and what the future of banking looks like in 2030. It will examine why banks need to think how it can allocate resources to deliver more to their clients in a way that is faster and more impactful to shape banking, branches and services in the future.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Analyse the changing role of branches and banking
- Identify and analyse new external competitive threats to the banking industry
- Evaluate the challenges faced by banks as a result of technology

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Retail, commercial and corporate bankers and anyone who would like to understand about the changes in global banking and the future of banking

PROGRAMME DETAILS

WHEN

31 July 2024
4 December 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001252708

PROGRAMME OUTLINE

Impact of new technologies on the competitive landscape

- Pressures on the “traditional banking” model
- Changes to the nature of the customer relationship
- Customer empowerment

The impact of enhanced regulation

- Increased requirement for regulatory compliance
- Impact on resources and the cost of compliance
- How compliance risk can shape banks' business strategy

New competition, business models and challengers

- Peer-to-peer lending
- Crowdfunding
- Robo-advising
- Efficient payments
- Blockchain and crypto-currencies
- AI and Big Data

Case studies and discussions

The changing role of branch and traditional banking products

- Reduced importance of the branch and rationalisation of branches
- New technology deployment in branch banking
- Changes in the branch format and the role of branch staff

The need to fully embrace digital banking

- Investment in systems, online and mobile banking
- Fully engaged with digital natives
- Embedding banking in customers' online activity

The importance of customers

- An integrated service
- The use of data analytics and targeted marketing
- Leverage the power of customers

The need for adaptability

- Banking technology trends
- New risks and opportunities

Case studies and discussions

ABOUT THE TRAINER

CHEAH WEE LEONG

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Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

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INTRODUCTION TO ETHICS IN BANKING

Since January 2017, all new graduates hired by the banking industry are required to complete the Introduction to Ethics in Banking programme. Designed, developed and delivered by the Asian Banking School (ABS), over twenty thousand bankers have gone through the training since its inception, which considering the importance of the subject matter, bodes well for the industry itself.

In our continuous efforts to deliver programmes that are innovative and current with the times, ABS has developed an online learning version of the Introduction to Ethics in Banking programme, alongside the existing classroom training option.

The classroom training is an 8-hour face-to-face session, while the online programme comprises of 8 hours self-paced e-learning that is followed by a 1½ hour webinar, both of which must be completed within 7 days upon registration. As the programme is at an introductory level, it will focus on ethical issues that are commonly encountered at the operational level, which includes integrity, conflict of interest, confidentiality and whistleblowing.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Define professionalism in banking
- Understand what is meant by ethics in the context of banking
- Understand types of ethical theories and its application in the workplace
- Analyse the psychological approach to ethics using Kohlberg's Theory
- Understand the context of unethical behaviour towards employees in terms of sexual harassment and workplace bullying

TRAINING ASSESSMENT

- Participants are required to complete a self-assessment for both classroom & online training (MCQ format)
- If they fail, they need to re-register and take the whole programme again
- All participants are required to proceed for membership registration with AICB upon completion of the whole programme

PARTICIPANT PROFILE

Graduate trainees or new hires at the banks with less than 2 years of working experience. This programme has also been extended to executives (non-managerial) of banks who would like to learn about ethical decision making.

PROGRAMME DETAILS

WHEN

22 July 2024 (Face-to-face)
18 November 2024 (Face-to-face)
18 – 27 September 2024 (Online)
9:00 am – 5:00 pm

WHERE

Face-to-face: Asian Banking School
Online: ABS LMS & Zoom

FEES*

RM 1,100

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001210282
(Face-to-Face)

10001167163
(Online)



The Asian Institute of Chartered Bankers (AICB) will award 8 CPD hours for those attending classroom training and 10 CPD hours for those who go through the online learning option

TRAINING METHODOLOGY

ONLINE TRAINING

This programme will be delivered on an ABS learning platform that incorporates online learning and self-assessment, followed by a webinar at the end. It offers an interactive and case-based hybrid learning experience that provides participants with the framework and tools to make ethical decisions.

Part A : E-Learning

- The programme is self-paced and includes an e-learning section that consists of 6 modules followed by a self-assessment - both of which must be completed within 7 days

Part B : Webinar

- 1.5-hour live session with the trainer which builds on the e-learning session completed in Part A
- The webinar features Kahoot, polling, chat, case discussions and a Q & A session where participants can practice the application of ethical decision making tools
- Participants need to pass the online assessment to be eligible to register for the webinar
- A digital certificate will be issued upon completion of both parts A & B of the online learning programme, after which participants will be eligible to apply for Affiliate Membership with AICB

CLASSROOM TRAINING

In classroom training, lessons are divided into 3 modules where participants will have an interactive learning experience with the trainer. Participants will have to pass an assessment at the end of the programme, after which a certificate of completion will be issued for participants to proceed with membership registration with AICB.

PROGRAMME OUTLINE

What is ethics and why is ethics important in banking?

- The nature of ethics, and its specific meaning in the context of business
- Fundamental ethical concepts: integrity, honesty, probity, transparency, fairness, objectivity, responsibility, accountability, judgement, scepticism
 - Discussion of case 1
- Duties of a banker, based on rules that must be followed and principles that govern behaviours
- Ethics based on consequences, but consequences to whom? Reconciling actions with the interests of stakeholders and the public interest
 - Discussion of case 2

Professionalism:

- Defining 'professional' and why bankers have to try harder than lawyers, doctors and accountants
- Characteristics of a profession
- Professional codes of conduct
 - Discussion of case 3

Ethical decision taking:

- Influences on our decisions, and their conflicting impact on what we do. These include rewards and threats, expectations of family, friends, colleagues and the law, perceptions of what is right and what is wrong.
- Decision taking frameworks
- Dealing with conflicts of interest
- Dealing with ethical dilemmas
 - Discussion of case 4

ABOUT THE TRAINER

SYLPHY CHUI

Sylphy Chui is a Training Consultant at the Asian Banking School and has more than 16 years of experience in the banking sector in credit sales and marketing, credit evaluation and credit approvals, consumer and commercial loans, and branch management.

She started her career as a Senior Sales and Marketing Executive at a Public Bank Berhad branch where she gained valuable knowledge in branch operations. She then went on to the regional office, where she supported more than 15 branches in the sales team and gained recognition as the Top Three Sales Achiever for 2003 and 2004. In 2005, after receiving the Regional Top Sales Achiever Award, Sylphy was promoted to Sales and Marketing Manager, leading the sales team in the Regional Office. She was promoted again in 2007 and became Business Manager at a branch in Kuala Lumpur, where she led the Credit Department. In 2012, she was promoted to Branch Manager. During this time, the branch she managed maintained themselves as an award-winning branch for five years consecutively from 2013 to 2017.

Sylphy holds a Bachelor's degree in Commerce, double majoring in Economics and Marketing, from Curtin University of Technology, Perth, Australia and is also a Certified Credit Professional (CCP) through the Institute of Bankers Malaysia (now known as the Asian Institute of Chartered Bankers). She is a Certified Training Professional through the Finance Accreditation Agency and a Certified HRDF Trainer.

MICHELLE LOO PHOY WAN

Michelle Loo has more than 10 years of banking experience in secured and unsecured lending, wealth products, bancassurance, SME banking and branch management.

Michelle started her career in the banking industry with HSBC Bank as a Mortgage Executive. In 2008, she joined OCBC as a Business Development Manager in SME Banking and during which, the team she was in achieved Top 5 in the nationwide overall performance. Following that, she joined Maybank as a Mortgage Consultant. She was one of the pioneers in the Direct Sales Team and recognised as the Nationwide Top Team for 3 consecutive years. She then went on to join Alliance Bank and was promoted as Branch Manager. Subsequently, she joined Public Bank in Regional Sales and Support in SME Bancassurance, supporting 19 branches in the Klang Valley. Her main task was to maintain Regional top sales, which she achieved for 2 consecutive years.

At ABS, she is involved in developing e-Learning content, storyboarding and gamification, as well as having the role as a Trainer for the "Introduction to Ethics in Banking" programme. Michelle holds a Bachelor's Degree in Economics majoring in Finance from University Putra Malaysia.

Learning Level | Intermediate

NAVIGATING ETHICAL AI IN BANKING: A CRITICAL FRAMEWORK FOR MALAYSIAN BANKERS

This half-day online programme explores the complex ethical landscape of AI in banking. It is designed for bank managers new to AI, it introduces key ethical theories and applies them to real-world case studies from Malaysia, Singapore, and the global financial sector. Participants will gain decision-making tools to address evolving ethical challenges and proactively promote responsible AI practices.

PROGRAMME DETAILS

WHEN

Programme is only available for in-house

WHERE

Online via Zoom

FEES*

RM 850

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416362

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Articulate key ethical theories including deontology, consequentialism, virtue ethics, and others relevant to AI ethics
- Apply ethical frameworks to analyse real-world banking scenarios involving AI
- Identify and address potential biases, privacy concerns, and trust issues related to AI deployments
- Assess the Malaysian and international regulatory landscape surrounding ethical AI in finance
- Develop strategies for integrating ethics into AI design, development, and management

TRAINING METHODOLOGY

Combination of slide presentations, gamification and discussion of real-life cases

PARTICIPANT PROFILE

Bank managers

PRE-REQUISITE

Pre-reading materials*

Participants are required to read the materials before attending the online class

*Compulsory to meet the 5 CPD hours AICB criteria (4 hours online + reading)

PROGRAMME OUTLINE

Module 1: Ethical Theories for AI Decision-Making

- Deontology: Rule-based ethics and its implications for AI programming
- Consequentialism: Evaluating outcomes and trade-offs of AI systems
- Virtue Ethics: The role of character and organisational values in shaping AI initiatives
- Other relevant theories: Kohlberg's stages of moral development, Roger Stears' integrated approach

Module 2: AI, Bias, and Fairness

- Case Study: US - Facial recognition software in law enforcement exhibiting racial bias
- Causes of algorithmic bias and strategies for mitigation
- Promoting fairness in lending, hiring, and customer service AI applications

Module 3: Data Privacy, Security, and Trust

- Case Study: Global – Cambridge Analytica scandal and the misuse of social media data
- Malaysian privacy regulations (Personal Data Protection Act) and challenges
- Encryption, anonymisation, and securing sensitive financial data
- Building customer trust in the security of AI systems

Module 4: Explainability and Accountability in AI

- Case Study: EU – The "right to explanation" under the General Data Protection Regulation (GDPR)
- Techniques for understanding AI decision-making (black box vs. interpretable models)
- Accountability chains, audits, and ensuring human oversight of AI systems

Module 5: The Malaysian Context and Responsible AI

- Regulatory landscape and evolving ethical guidelines for AI in finance
- Case Study: Malaysia - AI-driven wealth management tools potentially leading to unsuitable client recommendations
- Developing an organisational code of ethics for AI

Conclusion: Shaping the Future of Ethical AI in Banking

- Proactive ethical leadership in Malaysian banking
- Promoting a culture of responsible AI innovation

ABOUT THE TRAINER

DR VIJAYAN PARAMSOTHY

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

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BUSINESS ETHICS FOR MANAGERS



Bowen McCoy, a senior manager at Morgan Stanley, and a group of mountaineers have been preparing over six years to climb the Himalayas. Thousands of dollars had been spent on this expedition. At an extremely challenging part of the climb, the group comes upon a holy man – a Sadhu, who was dying from hypothermia. The group, and thereby each individual within the group, were faced with an unfortunate dilemma – to continue the climb and achieve their goals or carry the Sadhu two days back to a town to save the life of a stranger.

This one-day programme explores the challenges of personal ethics when applied to a group – when personal moral values clash with group norms and how organisational culture can impact the ethical climate of an entity.

PROGRAMME DETAILS

WHEN

10 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,900
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001153696

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Appraise the levels of accountability in an organisation
- Assess the philosophical and psychological approaches to business ethics
- Reflect on cultural typologies and how they influence organisational behaviour
- Formulate a view on what constitutes ethical and professional behaviour in an organisation
- Evaluate practical decision making and moral judgement and finding the balance between the two

TRAINING METHODOLOGY

Interactive group discussions, lectures, exercises, case studies and sharing of real-world experiences

PARTICIPANT PROFILE

Managers, Heads of Department, Division Heads, Senior Executives and C-Suites in the capacity of managing sales force, operational planning, staff performance, strategic thinking and organisational culture

PROGRAMME OUTLINE

Levels of Accountability in an Organisation

This module examines Bank Negara Malaysia's (BNM) approaches to strengthening conduct and culture in the financial services industry. Corporate governance principles are examined and case studies done to demonstrate that a code of ethics alone does not deter inappropriate behaviour.

Case Study: Carries Tolstedt

Case Study: Aman Shah

Philosophical and Psychological Approaches to Business Ethics

Prescriptive ethical theories developed by philosophers designed to help individual decide what they should do in response to ethical dilemmas are compared and contrasted with psychological theories that describe what individuals actually do due to mental and emotional processes that influence how people think and behave.

Case Study: Ethical issues in Artificial Intelligence in banking and finance

Case Study: Katharine Gun

Cultural Typologies and Organisational Behaviour

This module examines the role of culture in organisational behaviour. Hofstede theory is discussed to understand how cultural dimensions differ in various cultures and its impact in ethical decision making.

Case Study: CNN

Professional Behaviour in an Organisation

Here we consider what professionalism means in banking and the role of professional bodies. While professionalism may be seen to be demonstrating technical competencies, various behavioural attributes have been acknowledged as critical in creating the basis of a profession.

Case Studies: A series of caselets using MCQs to test the ability of participants to make ethical decisions in various real life banking scenarios.

Practical Ethical Decision Making

In making real world ethical decisions, one has to take into consideration sustainability, political forces, eastern philosophies and long term consequences in arriving at pragmatic ethical decisions.

Case Study: Ibn Rushd's influence in practical ethical decision making

ABOUT THE TRAINER

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MANAGING GEOPOLITICAL RISK IN BANKING

This two-day programme aims to provide banking professionals with the knowledge and skills necessary to identify, analyse and manage geopolitical risks in the dynamic global environment.

The programme structure incorporates theoretical concepts, practical applications and real-world case studies to ensure participants gain a comprehensive understanding of the subject. The topics covered will provide a comprehensive overview of the issues related to geopolitical risk. The training aims to help individuals working in the banking and financial services industry make informed decisions in an increasingly interconnected and volatile world.

PROGRAMME DETAILS

WHEN

2 – 3 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,700
**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416240

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Analyse the impact of geopolitical risk in banking
- Develop strategies for identifying, assessing, and managing geopolitical risks
- Apply geo-political risk analysis into strategic planning and decision making

TRAINING METHODOLOGY

Lectures, quizzes, case studies and discussions

PROGRAMME OUTLINE

Introduction to Geopolitical Risk in the Banking Sector

- Understanding geopolitical risk
- Importance of geopolitical risk management for banks
- Historical case studies: Impact of geopolitical events on banks

Geopolitical Analysis and Assessment

- Key concepts in geopolitical analysis
- Methods for assessing geopolitical risk
- Country risk assessment for banks

PARTICIPANT PROFILE

Investment bankers, corporate and commercial bankers, traders, treasury personnel, risk and compliance officers, analysts and anyone whose job is impacted by geopolitical risk

Global Economic Trends and Banking

- Relationship between economic trends and geopolitical risk
- Impact of global economic indicators on banking
- Economic forecasting in the context of geopolitical risk

Security Policy Implications

- Analysing military and security strategies
- Assessing the impact of security policies on banking
- Cybersecurity threats and banking

PROGRAMME OUTLINE (CONT'D.)

Political Risk Insurance and Risk Mitigation Strategies

- Role of Risk Insurance in banking
- Strategies for managing and mitigating political risk
- Political case studies on successful risk mitigation

Multilateral Organisations and Alliances

- Role of international organisations in mitigating geopolitical risk
- Understanding global alliances and their impact on banks
- Diplomacy and banking: navigating multilateral relationships

Energy, Technology, and Banking

- Geopolitical implications of energy resource dynamics
- Impact of technology developments on banking
- Managing risks associated with energy and technology

Crisis Management and Response in Banking

- Developing crisis management strategies
- Effective communication in high-risk situations
- Stakeholder management during geopolitical crises

Regulatory and Legal Considerations

- Legal frameworks in geopolitical risk management for banks
- Compliance and ethical considerations
- Regulatory requirements and reporting

Scenario Planning and Risk Modelling

- Developing geopolitical scenarios for banking
- Risk modelling tools and techniques
- Stress testing in the context of geopolitical risk

Cross-Cultural Communication in Banking

- Importance of cross-cultural communication
- Navigating cultural nuances in diplomacy and business
- Building effective relationships in diverse geopolitical environments

Case Studies and Practical Applications

- Analysing real-world cases of geopolitical risk in banking
- Group discussions and problem-solving exercises
- Applying course concepts to current geopolitical developments

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

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OPERATIONAL RISK MANAGEMENT

This one-day programme provides practical knowledge and tools to increase understanding of managing Operational Risk Management and non-financial risks in financial institutions. Learning is achieved via theory and approach examples to assist participants apply the relevant application in their workplace. Additionally, insight will be achieved through the use of real-world examples.

This programme incorporates Q&A sessions and participants are encouraged to ask questions and interact with the trainer and other participants. By the end of it, participants should have an understanding of the framework and knowledge required to use in practice the key techniques and tools of Operational Risk Management.

PROGRAMME DETAILS

WHEN

12 August 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001153901

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the roles and importance of Operational Risk Management
- Conduct assessment on business processes to identify associated risks
- Develop action plans to mitigate associated risks within the business entity
- Direct and coordinate appropriate activities in Operational Risk Management programme
- Perform roles and responsibilities in developing risk management culture and enhancing Operational Risk Management across the organisation

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Business line managers, risk owners, control owners, risk management and compliance officers, internal audit and any employee who is responsible for instituting effective “3 lines of defence” in the organisation

PROGRAMME OUTLINE

Introduction to Operational Risk

- Definitions of Operational Risk
- Examples of significant Operational Risk events and losses
- Why Operational Risk Management matters

Case Study: Rogue trading

Definition of Risk Classes

- Potential forms of Operational Risk losses
- Categorising operational risk events and losses
- Top operational risk issues globally

Case Study: Regulatory breaches and penalties

Operational Risk Governance

- Risk management organisation
- “3 lines of defence” and leadership support
- Building a sound risk culture

Control Self Assessments

- Consider the use of qualified assessments of Operational Risk
- Review how Risk and quality can be measured in a subjective fashion
- Discuss the benefits of CSA

Key Risk Indicators

- Examples of KRIs
- Monitoring and reporting
- Early warning system and taking actions to mitigate risks

Building Scenario Analysis and Stress Testing

- Stress testing vs. scenario analysis
- Multiple event scenarios and dependencies
- Building scenarios and stress tests

Managing Operational Risk

- Common challenges of Operational Risk Management
- Techniques to improve ORM
- Best practices and ORM in the digital age

ABOUT THE TRAINER

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Learning Level | Intermediate

WHOLESALE MARKET CONDUCT – RISK ASSESSMENT, SURVEILLANCE AND COMPLIANCE

This one-day programme focuses on the risk management and compliance aspects of “The Wholesale Market Conduct Practices Guidance Document” issued by Bank Negara Malaysia (BNM) on 31 December 2021. It will cover topics related to risk identification and surveillance, internal governance and controls and strengthening governance framework to mitigate risks related to misconduct. The roles of surveillance analysts to monitor and detect wholesale market abuse and misconduct (eg. setting-up an effective surveillance system) in their organisation will be analysed and discussed.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Identify actions to be taken to perform market conduct risk assessment
- Develop, manage and operate robust surveillance programmes
- Establish strong internal control environment and risk aware culture to manage conduct risks

TRAINING METHODOLOGY

Lectures, case studies, exercises and discussions

PARTICIPANT PROFILE

Compliance, risk management, audit personnel, staff who are part of the “2nd line of defence” and anyone who would like to understand Wholesale Market Conduct and how it impacts his/her job

PROGRAMME DETAILS

WHEN

9 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001254302

PROGRAMME OUTLINE

Risk Identification and Surveillance

- Financial institutions should have mechanisms in place to detect and deter market misconduct. An effective surveillance programme is necessary to detect misconduct, while a strong internal control environment serves to deter or prevent misconduct.

Market Conduct Risk Assessment

Monitoring For and Investigating Misconduct

- Misconduct to avoid:
 - » Wash Trading
 - » Position Parking
 - » Front Running
 - » Off-Market Rates
 - » Insider Dealing
- Surveillance of dealers' activities is the core pillar supporting an institution's ability to detect market misconduct by its dealers.

Case studies: regulatory actions on misconduct at financial institutions

Surveillance

- Trade Surveillance
- Communications Surveillance

Case study: How do financial institutions improve trade and communications surveillance?

Internal Governance and Controls

- Risk management
- Compliance
- Internal audit
- Reporting of non-compliance and audit findings
- Non-compliance by Dealers and Brokers

Control Environment in Managing Conduct Risks

- Governance and reporting
- Front-Middle-Back Office controls
- Segregation of duties
- Handling of inside information
- Conflicts of interest
- The role of Compliance and Internal Audit in managing wholesale conduct risk
- The role of culture in promoting good conduct

Key cultural drivers of misconduct

- The role of cultural drivers of misconduct
- Interplay between cultural drivers of misconduct and governance frameworks
- Lessons learned and good practices

Strengthening Governance Frameworks to Mitigate Misconduct Risk

- Mitigating cultural drivers of misconduct
- Strengthening individual responsibility and accountability
- Addressing the "rolling bad apples" phenomenon

Case studies and discussions

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UNDERSTANDING SDGS & CLIMATE RISK AWARENESS FOR BANKS

This one-day programme will provide an overview of the SDG and Paris Agreement, with a focus on how these initiatives are driving change across the globe. The programme aims to create awareness and explore how these global commitments are translated into our national and Bank Negara Malaysia (BNM) commitment, and the role that financial institutions can play in achieving these goals.

Participants will learn basic science-based knowledge on climate change and how to differentiate climate change mitigation and adaptation according to the BNM Climate Change and Principle-based Taxonomy (CCPT).

By end of this programme, participants will have a solid understanding of how to relate their to your bank's SDGs and climate agenda with global, national and central bank commitment, and what their role is in achieving these commitments.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain how the SDG and Paris Agreement are driving change across the globe
- Interpret the role of financial institutions in achieving the SDG and Paris Agreement
- Identify their role in supporting their organisation's SDG and Climate commitment

TRAINING METHODOLOGY

Lecture, games, videos, quizzes, discussions throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics

PARTICIPANT PROFILE

Staff of financial institutions interested in the topic of sustainability & climate risk

PROGRAMME DETAILS

WHEN

24 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001305747

PROGRAMME OUTLINE

SDG & Paris Agreement Commitment

- UN SDGs & Paris Agreement, Nationally Determined Contributions (NDCs)
- Broad concept of Sustainable Finance
- Understand our national and central bank commitment
- Activity: Identify your bank's sustainability commitment and strategies

Overview of Sustainable Risk

- Financial impact to banks & financing customers
- E,S,G Risks & Opportunities
- Climate Risk: Physical Risk & Transition Risk & Stranded Assets
- Activity: case study

Understanding Climate Change

- The science of climate change
- Climate mitigation & adaptation
- Decarbonisation & Renewable Energy
- Activity: calculate your carbon footprint

ABOUT THE TRAINER

ZURINA BINTI OTHMAN

Zurina Othman has 29 years of experience in banking ranging from branch operations to credit/risk management. She has in-depth knowledge in business banking financing structure, business strategies, credit and risk management.

She started her career in 1995 as the operations officer in one of the leading banks in Malaysia, and successfully progressed to various key positions including the Head of Business Centres, Business Banking prior to her appointment as Chief Credit Officer (CCO) at a Development Financial Institution (DFI). As CCO, she was actively involved in training programmes and appointed as Chairman of the Credit Learning Council. Her areas of training expertise are in Credit Underwriting and Structuring, Credit Risk Management, Trade Financing and Islamic Banking.

Zurina holds a Bachelor's Degree in Business Study, majoring in Finance, from Universiti Utara Malaysia (UUM). She obtained her Certified Credit Professional, Commercial Banking (CCP) from IBBM in 2004, and the Chartered Professional in Islamic Finance (CPIF) from the Chartered Institute of Islamic Finance Professionals in 2021. She is also a Certified HRDF Trainer and a Certified Expert in Sustainable Finance (CeisF) from the Frankfurt School of Finance and Management, Germany.

MICHELLE LOO PHOY WAN

Michelle Loo has more than 10 years of banking experience in secured and unsecured lending, wealth products, bancassurance, SME banking and branch management.

Michelle started her career in the banking industry with HSBC Bank as a Mortgage Executive. In 2008, she joined OCBC as a Business Development Manager in SME Banking and during which, the team she was in achieved Top 5 in the nationwide overall performance. Following that, she joined Maybank as a Mortgage Consultant. She was one of the pioneers in the Direct Sales Team and recognised as the Nationwide Top Team for 3 consecutive years. She then went on to join Alliance Bank and was promoted as Branch Manager. Subsequently, she joined Public Bank in Regional Sales and Support in SME Bancassurance, supporting 19 branches in the Klang Valley. Her main task was to maintain Regional top sales, which she achieved for 2 consecutive years.

At ABS, she is involved in developing e-Learning content, storyboarding and gamification, as well as having the role as a Trainer for the "Introduction to Ethics in Banking" programme. Michelle holds a Bachelor's Degree in Economics majoring in Finance from University Putra Malaysia.

Learning Level | Foundation

VOLUNTARY CARBON MARKET AND OPPORTUNITIES FOR MALAYSIA FINANCIAL INSTITUTIONS

This one-day programme provides an in-depth exploration of the voluntary carbon market and its implications for Malaysian financial institutions. Participants will gain a comprehensive understanding of carbon credits, emission allowances, carbon taxes, and the types of voluntary carbon credits available. The programme will cover pricing mechanisms, supply and demand dynamics, and multinational / local corporates' carbon neutrality commitments. Practical examples and case studies will illustrate how voluntary carbon credits can be used as a decarbonisation solution for the bank's corporate clients.

PROGRAMME DETAILS

WHEN

26 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,500

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001418423

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand voluntary and compliance carbon markets
- Identify the needs of targeted corporate clients who require carbon-offsetting services
- Engage their clients in meeting their needs for quality carbon credits and the retirement process
- Explore structuring innovative decarbonisation solutions for corporate clients

TRAINING METHODOLOGY

Lectures, videos, quizzes, case study discussions

Throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics

PROGRAMME OUTLINE

Unit 1: Carbon Credit, Emission Allowance, Carbon Tax

Unit 2: Types of Voluntary Carbon Credits and its Pricing Mechanism

Unit 3: Supply and Demand of Voluntary Carbon Projects

Unit 4: Multinational and local corporates' carbon neutrality/net zero commitments

Unit 5: Carbon desk and decarbonisation services in global financial institutions

Case Study

PARTICIPANT PROFILE

Wholesale banking relationship managers, treasurers and other banking executives who are involved in the carbon market

ABOUT THE TRAINER

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

At ABS, Sharon develops and delivers customised Sustainable Finance programmes for clients including designing Sustainable Finance Structured Learning Pathways. Currently, Sharon is working on expanding partnerships with government agencies to enhance the scope of training offerings to the banking and non-banking industry. She is also involved in conducting Qualification-related training.

Sharon conducts training in English, Bahasa Malaysia, Mandarin and Cantonese and has a teaching permit registered under the Ministry of Higher Education Malaysia. She is a qualified Chartered Accountant registered under the Malaysian Institute of Accountants and is a Certified HRDF Trainer. Sharon has a Bachelor of Accounting from the University Putra Malaysia and a Postgraduate Diploma in Teaching from the Open University Malaysia. She also holds a Professional Certificate in Islamic Finance from INCEIF, Diploma in Teaching English to Speakers of Other Languages (TESOL) from the London Teacher Training College and is a Certified Teacher in Teaching Chinese as a Foreign Language (CTCSOL) from Hanban. She is a Certified Expert in Sustainable Finance awarded by the Frankfurt School of Finance & Management, Germany.

HIRO CHAI

Hiro Chai has over 15 years of experience in global investment banking and carbon markets.

He started his career as a project engineer working on bridges and buildings in the UK. He then went on to transition into the global financial markets in Dubai after having worked on world-class projects such as Burj Khalifa and Jebel Ali Palm Island in UAE. Subsequently, he returned to Malaysia for good where he landed the role as Business Development (BD) manager at Kenanga Investment Bank. There, he dealt with international financial institutional clients and conducted new branch readiness assessments in accordance with the Securities Commission. He has also worked for CIMB Investment Bank as Associate Director of BD and Affin Hwang Investment Bank as Head of Derivatives.

Hiro then went on to work at Bursa Malaysia to manage the international development of its Derivatives Division. Following that, he was appointed as Head of BD and Sales in Bursa Carbon Exchange (BCX). He was responsible for the successful launch of its inaugural carbon credit auction and go-live of their trading platform facilitating the first trade of their standardised contracts. During his time in BCX, he onboarded several FIs in Malaysia such as Maybank, CIMB Bank, AmBank and Permodalan Nasional Berhad as the first group of participants of the national voluntary carbon credit market exchange.

He has a Bachelor degree in Civil Engineering from the University of Swansea and was presented Golden Jubilee Award by the Institute of Structural Engineers in the UK. He was awarded the Japanese Government scholarship under the Ministry of Education, Science and Technology to study his Masters in Business & Administration (MBA) at the GLOBIS University in Tokyo.

Hiro is now the Director at Mitsusho Sdn. Bhd. The company provides decarbonisation as a service such as corporate training on carbon market, sustainability reporting for public listed companies, corporate carbon offsetting, consulting for carbon credit project development, and support service for validation on GHG inventory and product life cycle against ISO:14064 and ISO:14067 respectively.

Learning Level | Intermediate

BUILDING SUSTAINABLE & ETHICAL BANKING PRACTICES: AN ESG FRAMEWORK FOR BANKERS

This one-day programme is designed to provide bankers with a comprehensive understanding of the importance of ethical practices and ESG (Environmental, Social, and Governance) principles in the banking industry. Participants will learn about the role of banking in society and the impact that ethical practices and ESG principles can have on long-term profitability and sustainability. The programme will cover topics such as responsible lending practices, stakeholder engagement, diversity and inclusion, and the impact of climate change. Participants will also examine case studies and real-world examples of banks that have successfully incorporated ethical and ESG principles into their operations.

PROGRAMME DETAILS

WHEN

13 August 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292222

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand the importance of ethical practices and ESG principles in the banking industry
- Analyse the impact of ethical practices and ESG principles on long-term profitability and sustainability
- Evaluate the risks and opportunities associated with incorporating ethical practices and ESG principles into banking operations
- Develop strategies for responsible lending practices and stakeholder engagement
- Create an action plan for implementing ethical and ESG principles in the workplace

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Middle to senior-level executives from all divisions

PROGRAMME OUTLINE

Introduction to Ethics and ESG

- Understanding the importance of ethics and ESG in the banking industry
- The impact of ethical practices and ESG principles on long-term profitability and sustainability
- Case study: JPMorgan Chase & Co. and the Paris Climate Accord

Responsible Lending Practices

- The role of banks in responsible lending practices
- The importance of stakeholder engagement in lending decisions
- Case study: Wells Fargo and the fake accounts scandal

Stakeholder Engagement

- Identifying and engaging with stakeholders
- Building trust through transparency and accountability
- Case study: Bank of America and the environmental movement

Diversity and Inclusion

- The importance of diversity and inclusion in the workplace
- Best practices for promoting diversity and inclusion
- Case study: Goldman Sachs and the 10,000 Women initiative

Climate Change

- The impact of climate change on the banking industry
- The role of banks in financing the transition to a low-carbon economy
- Case study: BBVA and the Green Bond Principles

Implementing Ethics and ESG Principles

- Creating an action plan for implementing ethical and ESG principles in the workplace
- Overcoming barriers to implementation
- Case study: UBS and the Principles for Responsible Banking

ABOUT THE TRAINER

DR VIJAYAN PARAMSOTHY

Dr Vijayan Paramsothy the Director of Graduate Training & FSTEP at the Asian Banking School. He started his career working in one of the big 8 Chartered Accountants and Management Consultancy firms in the UK. He has over 20 years of banking experience working in local and foreign banks up to a senior management level, ranging over a diverse range of disciplines.

He is currently involved in structuring bespoke technical and soft skill programmes for banks. In addition, he is involved in the curriculum working committee for professional qualification programmes such as the Chartered Banker and Bank Risk Management. He is also a designated Chartered Banker trainer, bringing a fresh approach to self-directed learning using mind-mapping techniques, case studies and problem-based learning. Dr Vijay has published banking and finance related text books and journal articles internationally, including, "Success Factors for the Implementation of Entrepreneurial Knowledge Management in Malaysian Banks" (Journal of Information & Knowledge Management, 2013).

Dr Vijay holds an Honours Degree in Accounting and Finance from Scotland, a Master of Science Degree in Multimedia Technology (Banking), a Doctor of Business Administration (Banking Strategy and Marketing) from Australia, and a Doctor of Philosophy (Knowledge Management in Banking) from Malaysia. He recently successfully completed his Chartered Banker MBA from the Bangor Business School. He is also a Certified Training Professional (ARTDO) and a Certified HRD Corp trainer.

CARBON MARKETS AND DECARBONISATION STRATEGY

Carbon markets have developed to facilitate trade in carbon credits and help to mitigate climate change in a cost-effective way. Carbon markets help channel resources and lower costs to provide companies the platform to move towards low-carbon transition.

Bursa Malaysia launched the Bursa Carbon Exchange (BCX), which is a voluntary carbon market (VCM) and the world's first shariah-compliant carbon exchange in December 2022. Malaysia's first Bursa Carbon Exchange (BCX) will enable companies to trade voluntary carbon credits from climate-friendly projects and solutions to offset their emission footprint and meet climate goals.

In this one-day programme, participants will learn about the different types of carbon markets and how it functions. Participants will also be exposed to policies around climate change including proposals related to carbon taxes and cap and trade scheme adopted by governments to combat climate change. Participants will also learn about decarbonisation strategies, how they relate financial institutions and their corporate clients, what are the risks and opportunities and how to implement them across the organisation.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Discuss the functions of carbon markets and how it works
- Explain the objectives of carbon markets
- Analyse the opportunities and risks of carbon markets and carbon trading

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Investment, corporate and commercial bankers, treasurers, relationship managers, asset managers, risk managers and anyone interested to learn about carbon markets how it functions, the opportunities and risks involved and how to participate in carbon markets

PROGRAMME DETAILS

WHEN

28 August 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292632

PROGRAMME OUTLINE

Introduction

- Definition of carbon markets
- Overview of decarbonisation strategy

Carbon Markets

- Types of carbon markets:
 - » Compliance Carbon Markets
 - » Voluntary Carbon Markets

How Carbon Markets Work

- Allowance Trading
- Offset projects
- Advantages and limitations of carbon markets

Decarbonisation Strategy

- Overview of decarbonisation
- Definition of decarbonisation
- Importance of decarbonisation

Strategies for Decarbonisation

- Energy Efficiency
- Renewable Energy
- Carbon Capture, Utilisation, and Storage (CCUS)
- Low-Carbon Transportation

Implementation of Decarbonisation Strategy

- Government Policy and Regulation
- Corporate and Business Action
- Consumer Engagement

Case Studies of Carbon Markets and Decarbonisation Strategy

- European Union Emissions Trading System (EU ETS)
- Cap-and-Trade programme in the USA
- Corporate Decarbonisation Strategies of large companies
- The Bursa Carbon Exchange (BCX):
 - » How does it operate, what are the benefits of the voluntary carbon market, who can participate, what type of projects will be allowed to be traded on the carbon exchange?

Future Trends in Carbon Markets and Decarbonisation Strategy

Case studies and discussions

ABOUT THE TRAINER

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CLIMATE CHANGE AND PRINCIPLE-BASED TAXONOMY (CCPT)

Financial institutions have a critical role to play in supporting orderly transitions while managing their exposures to climate risk from lending, investment and underwriting activities. Assessing exposures to climate-related risks in a consistent and effective way, both within a financial institution and across the industry, is therefore essential.

On 30 April 2021, Bank Negara Malaysia (BNM) published Malaysia's national climate focused sustainability taxonomy for the financial sector, the Climate Change and Principle based Taxonomy (CCPT). The CCPT sets out five Guiding Principles (GPs) intended to help financial institutions to assess and categorise economic activities according to the extent to which they meet climate objectives and promote transition to a low-carbon economy.

The CCPT provides a framework to facilitate robust and consistent assessments of economic activities and their associated impacts on climate mitigation, adaptation, and the transition to low carbon and more sustainable practices. This one-day programme aims to provide participants with an understanding of the key elements, features and requirements outlined under the CCPT. The CCPT and how to apply and implement it within financial institutions will also be examined and discussed.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the "Climate Change and Principle-based Taxonomy" (CCPT)
- Identify climate change impact and opportunities for financial institutions
- Interpret the CCPT and attempt to classify borrowers under the CCPT guidelines

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Sustainability champions, executives and officers from business units, credit, risk, compliance, audit and anyone whose role requires a good understanding of climate change and principles-based taxonomy

PROGRAMME DETAILS

WHEN

30 September 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001292229

PROGRAMME OUTLINE

Introduction

- Overview of climate change and its impacts
- Importance of principles-based taxonomy in addressing climate change

Understanding Climate Change

- Definition and causes of climate change
- Greenhouse gas emissions and their impact on the climate
- Impacts of climate change on different sectors and regions

Principles-based Taxonomy

- Definition of principles-based taxonomy
- Key principles of principles-based taxonomy
- Guiding principles for the assessment of economic activities

Application of Principles Based Taxonomy to Climate Change

- Encouraging low-carbon and sustainable activities
- Assessment of economic activities
- Classification of economic activities
- Disclosing and reporting on climate impact of investments

Case Studies

- Examples of companies in different sectors implementing principles-based taxonomy
- Evaluation of the impact of principles-based taxonomy on climate change

Preparing for CCPT implementation

- Challenges and opportunities
- Discussion on data collection issues, data verification, classification challenges etc.

Case studies and discussions

ABOUT THE TRAINER

CHEAH WEE LEONG

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Learning Level | Intermediate

CLIMATE RISK MANAGEMENT: STRESS TESTING, SCENARIO ANALYSIS, CLIMATE RISK MODELS AND GHG ACCOUNTING

This one-day programme is designed to equip participants with the knowledge and skills needed to understand, assess and manage climate-related risks in the banking industry. Participants will learn about climate risk stress testing, scenario analysis and the integration of climate considerations into strategic decision-making.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Understand how banks measure and manage climate risk
- Discuss relevant regulatory approaches to climate risk management and stress testing
- Identify and learn the different approaches to climate scenario analysis

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Senior management and anyone who would like to learn about climate risk management

PROGRAMME DETAILS

WHEN

26 August 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416437

PROGRAMME OUTLINE

Understanding Climate Change and its Impact

- Explore the science of climate change
- Examine physical and transition risks associated with climate change and sources of environmental risk
- Understand the importance of climate risk management for banks

Regulatory Frameworks and Disclosure Requirements

- Overview of relevant climate risk management and stress test guidelines
- Principles for climate risk management and scenario analysis
- Discuss emerging standards and best practices

Introduction to Stress Testing

- Define stress testing and its relevance to climate risk management
- Examine the key components of a stress-testing framework

Scenario Development

- Scenario approaches and how to create climate scenarios
- Understand the scenarios' impact on banking operations
- Practice scenario development exercises.

Data and Assumptions

- Identify data sources for climate risk modelling
- Discuss assumptions used in climate stress testing
- Address data limitations and uncertainties

Analysing Stress Test Results

- Interpret the results of climate stress tests
- Identify vulnerabilities and risks
- Develop strategies for risk mitigation

Greenhouse Gas Accounting and Reporting

- Reporting greenhouse gas emissions using recognised accounting methodologies
- Scope 1, Scope 2, and Scope 3 emissions and their relevance to various industries
- GHG emissions reports for disclosure and compliance

ABOUT THE TRAINER

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DEMYSTIFYING SOCIAL FINANCE: BLENDING PROFIT AND PURPOSE

This two-day programme aims to provide banking and finance professionals with a good understanding of social finance, exploring its principles, methodologies, and practical applications. Participants will gain insights into how financial tools and strategies can be leveraged to address social and environmental challenges. The programme will cover key concepts, case studies, and emerging trends in the field of social finance. By the end of the programme, participants will be able to navigate the complexities of social finance and contribute to the development of socially responsible finance.

PROGRAMME DETAILS

WHEN

18 – 19 December 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001417035

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Discuss social finance principles, frameworks and methodologies
- Identify various innovative financing models in social finance
- Apply social finance principles to real-world scenarios, considering the triple bottom line and ethical considerations

TRAINING METHODOLOGY

Lectures, case studies, exercises and discussions

PROGRAMME OUTLINE

INTRODUCTION TO SOCIAL FINANCE

Defining Social Finance

- Overview of social finance and its role in addressing social and environmental issues
- Historical perspective and development of social finance
- Key differences between traditional finance and social finance

Triple Bottom Line

- Understanding the triple bottom line
- Balancing financial returns with social and environmental impact

PARTICIPANT PROFILE

Open to various levels from banks & financial institutions who are interested in learning about social finance

SOCIALLY RESPONSIBLE INVESTING (SRI)

Overview of SRI

- Introduction to socially responsible investing
- Screening criteria and ethical investment considerations

Impact Investing

- Defining impact investing and its objectives
- Impact measurement and evaluation methodologies

PROGRAMME OUTLINE (CONT'D.)

SOCIAL ENTERPRISE FINANCING MODELS

Nonprofit Finance

- Financial strategies for nonprofit organisations
- Fundraising, grants, and donor relations

Hybrid Models

- Exploring social enterprises with hybrid business models
- Balancing profit and impact in hybrid organisations

CROWDFUNDING FOR SOCIAL CAUSES

Crowdfunding Basics

- Understanding crowdfunding and its different models
- Examples of successful social crowdfunding campaigns

Crowdfunding for Social Enterprises

- Strategies for leveraging crowdfunding for social ventures
- Platforms and tools for social finance crowdfunding

SOCIAL IMPACT BONDS (SIBS)

Introduction to SIBs

- Overview of Social Impact Bonds
- Key stakeholders and their roles in SIBs

Evaluating SIBs

- Assessing the effectiveness and challenges of SIBs
- Case studies of successful SIBs

ABOUT THE TRAINER

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SOCIAL FINANCE IN MALAYSIA

Social finance offerings in Malaysia

- Overview of the diverse social finance platforms available
- Examples of social finance initiatives in Malaysia

Case Studies and Best Practices in Malaysia

- Challenges in social finance
- Lessons learned and best practices

Case studies of impactful social finance projects

EMERGING TRENDS IN SOCIAL FINANCE

Blockchain and Social Finance

- Potential of blockchain technology in social finance
- Case studies of blockchain applications for social impact

Future Directions in Social Finance

- Trends, innovations, and the evolving landscape of social finance
- Opportunities and challenges in the future of social finance

Case studies and discussions

ENERGY EFFICIENCY FINANCING IN MALAYSIA

This one-day programme serves as a refresher, updating Relationship Managers and Credit Risk officers on the latest BNM Climate Change Principle Taxonomy (CCPT) practices outlined in the newest version of the BNM CCPT DDQ. Additionally, it provides a fundamental understanding of the energy efficiency landscape in Malaysia, which is crucial from a banker's perspective.

For bankers and financial professionals involved in green and transition financing, understanding the energy efficiency landscape in Malaysia and the Southeast Region is increasingly important for identifying investment and financing opportunities, conducting effective risk assessment, and mitigating risks in line with BNM CCPT guidelines. Furthermore, it enhances the Relationship Managers' confidence in client engagement, enabling them to offer tailored financial solutions that precisely meet energy efficiency project requirements. This knowledge also supports the development of specialised financial products, encourages innovation in green and transition financing, identifies lending and investment opportunities, and contributes significantly to broader sustainability goals.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Recognise the government's initiatives and incentives for promoting energy efficiency in Malaysia
- Explain the types of green technology projects and the financing options available to support investment in energy efficiency equipment or projects
- Apply fundamental technical knowledge, green financing principles, and BNM CCPT to confidently engage potential customers and manage risks effectively

TRAINING METHODOLOGY

Lectures, videos, quizzes, case study discussions and real case sharing

Throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics

PRE-REQUISITE

Banking professionals who have a basic understanding of sustainable finance and green finance

PROGRAMME DETAILS

WHEN

26 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001418078

PROGRAMME OUTLINE

Module 1: Refresh & Updates

- Refresh and enhance BNM CCPT practices
- Principle of green finance, transition finance and sustainable-linked finance

Module 2: Understanding Energy Efficiency & Financing Options

- Overview of the Energy Efficiency concept
- Governance of the Energy Efficiency and management
- Government of Malaysia's tax incentives
- Types of Energy Efficiency equipment & projects
- BNM CCPT Case Study related to Energy Efficiency
- Additional reference- BNM VBIAF Sectorial Guide energy efficiency

ABOUT THE TRAINER

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

At ABS, Sharon develops and delivers customised Sustainable Finance programmes for clients including designing Sustainable Finance Structured Learning Pathways. Currently, Sharon is working on expanding partnerships with government agencies to enhance the scope of training offerings to the banking and non-banking industry. She is also involved in conducting Qualification-related training.

Sharon conducts training in English, Bahasa Malaysia, Mandarin and Cantonese and has a teaching permit registered under the Ministry of Higher Education Malaysia. She is a qualified Chartered Accountant registered under the Malaysian Institute of Accountants and is a Certified HRDF Trainer. Sharon has a Bachelor of Accounting from the University Putra Malaysia and a Postgraduate Diploma in Teaching from the Open University Malaysia. She also holds a Professional Certificate in Islamic Finance from INCEIF, Diploma in Teaching English to Speakers of Other Languages (TESOL) from the London Teacher Training College and is a Certified Teacher in Teaching Chinese as a Foreign Language (CTCSOL) from Hanban. She is a Certified Expert in Sustainable Finance awarded by the Frankfurt School of Finance & Management, Germany.

ASSOC. PROF. TS. DR. HO WAI SHIN

(Solar PV Expert)

Assoc. Prof. Ts. Dr. Ho Wai Shin has more than 12 years experience in the areas of Renewable Energy and Green Technology. He pursued his Ph.D. in 2011 where he had started doing research on renewable energy system optimisation. Upon graduation, he joined Universiti Teknologi Malaysia (UTM) as a Senior Lecturer and now an Assoc. Professor. He has taught numerous engineering subjects including, Renewable Energy, Energy Conversion, Thermal Energy Management, Control Process and Instrumentation, Pollution Control, and many more. He is also a Certified HRD Corp Trainer. He has also conducted courses on Green Technology Financing for Financial Institutions under MGTC.

Dr. Ho obtained his Ph.D. in 2014 from UTM and he was awarded the prestigious Commonwealth Scholarship to attend Imperial College London during his Ph.D. Dr. Ho's invention and research work gained him numerous recognitions where he was awarded the global Best Young Chemical Engineer in Research by ICheme in 2015. He was also awarded the Young Scientist Research Award by UTM in 2020 and in the same year, was awarded the National Young Scientist (Saintis Muda Negara) by the Ministry of Science, Technology, and Innovation. He is a Certified Energy Manager under the ASEAN Centre of Energy and Registered Electrical Energy Manager by Energy Commission, Malaysia.

Learning Level | Intermediate

ESG AND CLIMATE RISK MANAGEMENT



An ESG (Environmental, Social, and Governance) risk management programme allows companies to develop a business model that is tailored to identify, assess, and manage various environmental, social, and governance risks. ESG risk management training is crucial to help employees understand the importance of ESG risks and the role they play in mitigating these risks.

This one-day programme will provide participants with the knowledge they need to identify, assess, and mitigate ESG risks and to contribute to the company's overall ESG risk management efforts. Participants will also learn to identify strategies to deal with emerging climate risks and regulations.

PROGRAMME DETAILS

WHEN

21 August 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001355848

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Examine the impact of climate-related trends on a business in the context of climate scenarios
- Explain the role financial institutions can play in the transition to a lower carbon Economy through ESG risk management
- Identify strategies for navigating emerging climate change risks and regulations

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Sustainability champions, executives and officers from Risk Management, Compliance, Audit, Credit, Business Units and anyone requiring a good understanding of climate risk management

PROGRAMME OUTLINE

Introduction

- ESG risks and their significance
- Overview of the ESG risk management process
- Explanation of the role of employees in ESG risk management

Understanding ESG Risks

- Environmental risks and their impact on organisations and the world
- Social risks and their impact on company's reputation, supply chain and stakeholder relationships
- Governance risks and their impact on company's operations and financial performance

Understanding Climate Risks

- Overview of the various types of climate risks (e.g. physical, transitional, liability, reputational)
- Different sources and causes of climate risks
- Impact of climate change on various sectors and industries

Identifying ESG Risks

- Overview of the ESG risk identification process
- How to identify internal and external ESG risks
- How to prioritise ESG risks based on impact and likelihood

Assessment of Climate Risks

- Overview of the risk assessment process
- Explanation of how to identify and prioritise climate risks
- Tools and methodologies for conducting a comprehensive climate risk assessment

Assessing ESG Risks

- The ESG risk assessment process
- Overview of financial, reputation, and legal risks associated with ESG risks
- How to determine the likelihood and timeline of ESG risks materialising

Mitigating ESG Risks

- The ESG risk mitigation process
- Overview of risk mitigation strategies ie. reducing greenhouse gas emissions improving labour practices, enhancing corporate governance etc.
- How to monitor and regularly review the effectiveness of risk mitigation efforts.

Reporting and Communicating ESG Risks

- The importance of reporting and communicating ESG risks to stakeholders
- The reporting and communication process
- How to effectively communicate ESG risks and progress to stakeholders, including investors, customers, and regulators

Case studies and discussions

ABOUT THE TRAINER

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Learning Level | Intermediate

ESG AND SUSTAINABILITY FOR CAPITAL MARKETS AND INVESTMENT BANKING

This one-day programme aims to equip professionals in capital market and investment banking in Malaysia with the knowledge and skills needed to integrate ESG principles into their services, solutions and operating model and contribute to sustainable and responsible investment practices. The programme will also cover related regulatory frameworks, sustainability reporting and sustainable finance initiatives in the Malaysian market.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain ESG and its relevance in capital markets and investment banking
- Apply ESG concepts and principles in capital markets and investment banking
- Understand various ESG trends and strategies in the capital markets

TRAINING METHODOLOGY

Lecture, exercises, case studies and discussions

PARTICIPANT PROFILE

Investment bankers, analysts, portfolio managers, fund managers, traders, risk and compliance officers and anyone seeking to understand how to incorporate ESG principles in the capital markets and how to integrate ESG into business practices and decision making in investment banking and management

PROGRAMME DETAILS

WHEN

15 August 2024
23 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001356874

PROGRAMME OUTLINE

Understanding ESG Fundamentals

- Significance of ESG in the Malaysian capital markets
- Global ESG trends and their relevance to investment banking
- Regulatory framework and guidelines related to ESG

ESG Integration in Investment Banking

- The business case for ESG integration in investment banking
- Benefits and risks associated with ESG integration
- Role of investment banks in promoting ESG and sustainability

ESG Advisory, Products and Solutions

- ESG advisory services for clients
- Designing ESG products and investment solutions
- Marketing and promoting ESG-related financial solutions

Sustainable Finance and Investment Products

- Exploring sustainable finance instruments such as green bonds, social bonds, and sustainability-linked loans
- Analysing the growth and impact of sustainable investment in the Malaysian capital markets
- Evaluating the role of investment banking in structuring and facilitating sustainability-related transactions

ESG and Fixed Income Markets

- Integrating ESG factors in bond and debt investments
- Green bonds, sustainability-linked bonds, and other ESG-related debt instruments
- Evaluating credit risk through an ESG lens

ESG and Equity Markets

- ESG considerations in equity investments
- Analysing companies through an ESG lens
- ESG rating agencies and methodologies

Regulatory Landscape and Compliance

- Reviewing the Malaysian regulatory framework for ESG and sustainable finance in the capital markets
- Understanding compliance requirements and reporting obligations for ESG initiatives
- The role of regulators and industry bodies in promoting ESG adoption

Case studies and discussions

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Learning Level | Intermediate

ESG AND SUSTAINABLE FINANCE: LATEST TRENDS, CLIENT ENGAGEMENT AND SUPPORTING CLIENTS' SUSTAINABILITY JOURNEY

As providers of finance and services to companies and other entities that are responsible for reducing GHG emissions, banks have the ability to boost the transition to a net-zero economy. Banks play a key role in promoting green and sustainable practices, given its roles as lender and advisor. Banks' client-facing staff are a vital link between finance and business action. Relationship managers and client-facing officers can facilitate two-way partnerships with companies to encourage net zero transitions and provide the necessary financial support.

This one-day programme is designed to equip sales and relationship managers with the knowledge and skills necessary to effectively engage with clients on Environmental, Social, and Governance (ESG) and sustainable finance topics. Participants will be provided with the latest ESG and Sustainable Finance developments and market insights in Malaysia, learn about the latest trends and opportunities and how to engage with clients to transition to a lower carbon business practice. This programme will also help relationship managers understand the importance of ESG risk profiling and harnessing financing opportunities that accelerate action.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Discuss the latest ESG guidelines and trends affecting business and financial institutions in Malaysia
- Assist clients to raise their level of awareness of ESG and sustainability in their daily lives, organisation and business
- Participate in meaningful conversations with clients about their sustainability plans and associated financing needs

TRAINING METHODOLOGY

Lecture including presentations, case studies and discussions

PARTICIPANT PROFILE

Relationship managers, client account officers, sales personnel, risk and compliance officers and anyone interested to learn how to engage with business clients on ESG and Sustainable Finance

PROGRAMME DETAILS

WHEN

7 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001358146

PROGRAMME OUTLINE

Introduction to Sustainable Finance

- Overview of sustainable finance concepts
- Global sustainability goals and frameworks
- The role of financial professionals in sustainable finance

Sustainable Finance Trends and Market Developments

- Latest trends in sustainable and green finance including guidelines and policies on climate taxonomy, national energy transition etc.
- Sustainable products and services
- Case studies of latest sustainable and green finance

Updates and Market Insights on ESG Developments

- Latest trends in the market
- Activities and sectors requiring green financing
- Sustainable financing opportunities in Malaysia

Client Engagement in Sustainable Finance

- Effective communication strategies for discussing sustainability with clients
- Identifying clients' sustainability values and preferences
- Navigating ESG topics with Corporate and Commercial customers

Roles of Sales personnel and Relationship Managers

- Why, What and How to start?
- Type of impactful questions to ask customers

How can you best prepare as a Relationship Manager?

- Developing robust processes for client engagement

Case studies and discussions: studies of companies in specific industries that has environmental and social impacts.

Discussion:

- What are the main challenges Relationship Managers face engaging clients and steering them towards low-carbon and green transition?
- What are the specific environmental, social and governance risks for the company and industry selected for study?
- How to engage in conversations with businesses and ask relevant questions and to obtain the necessary data required by your bank?

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Learning Level | Intermediate

ESG DISCLOSURE, REPORTING AND COMPLIANCE

This one-day programme is intended to provide banking and finance professionals with good knowledge and skills related to Environmental, Social, and Governance (ESG) disclosure, reporting and compliance. The programme covers the principles, frameworks, regulations and best practices associated with ESG considerations in business operations. Participants will gain practical insights into integrating ESG factors into corporate strategy, reporting mechanisms and compliance requirements to enhance transparency, accountability and sustainable performance.

PROGRAMME DETAILS

WHEN

13 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416534

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe ESG frameworks, standards and reporting guidelines
- Explain regulatory requirements and compliance obligations related to ESG reporting
- Identify best practices in ESG reporting and communication specific to Malaysia
- Learn about stakeholder engagement and communication strategies for ESG disclosures

TRAINING METHODOLOGY

Lecture, case studies and discussions

PARTICIPANT PROFILE

Executives, managers, sustainability professionals, risk and compliance officers and anyone involved in ESG reporting and compliance from banks & financial institutions

PROGRAMME OUTLINE

Introduction to ESG in Malaysia

- Importance of ESG in Malaysia's economic and social development
- Regulatory landscape and key drivers of ESG reporting (Bursa Malaysia, Securities Commission Malaysia, etc.)
- Overview of upcoming mandatory ESG reporting requirements

Key Frameworks and Compliance

- Bursa Malaysia's Sustainability Reporting Guide: Requirements, key performance indicators (KPIs), and compliance strategies
- Comparison of ESG reporting standards and guidelines: understanding GRI, SASB, and TCFD frameworks and their alignment with Malaysian regulations
- Selecting appropriate frameworks for organisational needs and integrating international best practices with local requirements

ESG Risk Assessment and Materiality Analysis

- Identifying ESG risks and opportunities
- Conducting a materiality assessment considering Malaysian stakeholders and priorities
- Integrating ESG considerations into risk management processes

ESG Data Collection and Reporting

- Data collection methodologies and sources
- Key performance indicators (KPIs) for ESG measurement
- Reporting best practices and disclosure requirements

Regulatory Landscape and Compliance

- Overview of ESG-related regulations and mandates
- Compliance obligations for public and private entities
- Reporting deadlines and requirements

Stakeholder Engagement and Communication

- Engaging with stakeholders on ESG matters
- Developing effective communication strategies
- Addressing stakeholder concerns and feedback
- Building trust and credibility through transparent communication

Real-World Examples and Best Practices

- Analysing real-world examples of ESG reporting by Malaysian companies
- Identifying best practices in communication and stakeholder engagement
- Sharing experiences and challenges specific to the Malaysian environment

Case Studies and Discussions

ABOUT THE TRAINER

CHEAH WEE LEONG

Cheah Wee Leong is the Director of Investment Banking at the Asian Banking School. He has more than 25 years' experience working in the banking industry with local and foreign banks in various roles and capacities. A seasoned professional, Wee Leong brings with him broad experience as a former banker and management consultant.

Wee Leong started his career at Citibank in 1993 before joining US management consultancy firm Accenture in 1997. At Accenture, he was involved in managing large bank merger-integration, process re-engineering and transformation projects. He has worked in projects in various countries including Hong Kong, China, Singapore, Indonesia, Thailand, Mauritius and Saudi Arabia.

In 2003, Wee Leong joined CIMB as its Head of Operational Risk Management and led the implementation of the bank's operational risk management and Basel 2 - Operational Risk framework. Subsequently, he assumed various senior roles in Trade Finance, Regional Transaction Banking, Corporate Banking, Treasury and Markets division and Group CEO office at CIMB. His last role at CIMB was as Director, Group Strategy. He has been designing and developing courses and conducting training regularly (covering various topics) with the Asian Banking School since 2017.

Wee Leong holds a Bachelor of Business Administration from the USA and a Master of Business Administration (with distinction) from the Anglia Ruskin University, United Kingdom. He obtained training from the Citibank Asia Pacific Banking Institute in Singapore in 1995. He is a certified Chartered Banker, Finance Accreditation Agency (FAA) Certified Training Professional and a holder of the "Certificate in Climate Risk" (awarded by the Chartered Body Alliance, UK) and PRINCE2 Foundation and Practitioner Certificate in Project Management. Wee Leong completed the "Leading the Sustainability in Transformation in Banking" programme with the Frankfurt School of Finance and Management (1 week course in Frankfurt, Germany) in October 2022.

MASTERING BNM CCPT FOR RELATIONSHIP MANAGERS

This one-day programme is specifically designed for Relationship Managers, providing a recap of general topics related to Malaysia's net-zero commitment and our national sustainability policies. Participants will explore Malaysia's movement toward Net Zero, including green incentives highlighted in the 2024 Budget and other pertinent government policies, and will be equipped with an understanding of climate change risks and their impact on the financial system.

The programme delves into the fundamental science of climate change, scenarios of economic activities, and distinguishes between climate change mitigation (GP1) and adaptation (GP2) in line with Bank Negara Malaysia's (BNM) CCPT identification and classification. Additionally, it enhances due diligence assessments by evaluating clients' organisations' environmental impact (GP3) and measures taken to mitigate negative impacts (GP4) based on the latest 2024 version. Case studies on real-case scenarios will be used to further enhance the grasp on GP3 & GP4 assessment. Upon completion, participants will be able to incorporate climate change principles taxonomy into their classification practices and client interactions.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Define the SDGs, the Paris Agreement, and the Malaysian Government's initiatives toward global commitments, explaining their relevance to sustainable finance
- Distinguish between climate mitigation (GP1) and climate adaptation (GP2)
- Assess real-world scenarios to identify and manage clients' climate and environmental risk to meet BNM's expectations aligned with CCPT GP3 and GP4 due diligence guidance

TRAINING METHODOLOGY

Lectures, video and case study discussions

Throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics

PARTICIPANT PROFILE

Relationship Managers, and Credit Risk and Risk Management officers

PROGRAMME DETAILS

WHEN

2 December 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001417897

PROGRAMME OUTLINE

Module 1: Understanding the Science of Climate Change

- The science of climate change
- Identifying key contributors to GHG Emissions
- Malaysia & Global Net Zero Commitment

Module 2: Step-by-step Guide on BNM CCPT

- Climate Actions - Climate Mitigation & Adaptation
- Walkthrough use cases step-by-step
- Assessment study on real case scenarios

ABOUT THE TRAINER

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

At ABS, Sharon develops and delivers customised Sustainable Finance programmes for clients including designing Sustainable Finance Structured Learning Pathways. Currently, Sharon is working on expanding partnerships with government agencies to enhance the scope of training offerings to the banking and non-banking industry. She is also involved in conducting Qualification-related training.

Sharon conducts training in English, Bahasa Malaysia, Mandarin and Cantonese and has a teaching permit registered under the Ministry of Higher Education Malaysia. She is a qualified Chartered Accountant registered under the Malaysian Institute of Accountants and is a Certified HRDF Trainer. Sharon has a Bachelor of Accounting from the University Putra Malaysia and a Postgraduate Diploma in Teaching from the Open University Malaysia. She also holds a Professional Certificate in Islamic Finance from INCEIF, Diploma in Teaching English to Speakers of Other Languages (TESOL) from the London Teacher Training College and is a Certified Teacher in Teaching Chinese as a Foreign Language (CTCSOL) from Hanban. She is a Certified Expert in Sustainable Finance awarded by the Frankfurt School of Finance & Management, Germany.

NAVIGATING SUSTAINABLE FINANCE AND CLIMATE RISK MANAGEMENT

This two-day programme aims to equip risk officers in the banking industry with a thorough understanding of key frameworks like the UNSDG and the Paris Agreement, along with sustainable finance principles. Participants will learn to recognise their bank's sustainability goals and strategies, as well as how to manage climate-related risks for both the bank and its clients.

Covering foundational elements such as climate change science, risk assessment, mitigation, and adaptation, the programme also addresses the latest regulatory updates in climate risk management. Through a cross-functional learning exchange presentation, participants have a unique opportunity to deepen their grasp of sustainable finance and climate risk management. By exchanging ideas and best practices on integrating new knowledge into their roles, participants are empowered to drive positive change within their organisations, benefiting society and the planet.

PROGRAMME DETAILS

WHEN

13 – 14 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001416836

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe and relate the UN Sustainable Goals (SDG) and Paris Agreement with the Bank's Sustainability commitment
- Identify how their role in the bank can support the sustainability aspiration
- Discuss how climate risk impacts the bank and its financing portfolio through the key transmission channel
- Interpret the BNM expectations and requirements related to climate risk management

TRAINING METHODOLOGY

Lectures, video, quizzes, case study discussions, cross-functioning role presentation, pre & post assessment and personal reflection. Throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics.

PARTICIPANT PROFILE

Specific Function Unit staff – 2nd line and 3rd line of defence, any risk functioning staffs (Eg. Compliance Risk Officer, Risk Management, Internal audit, product development)

PROGRAMME OUTLINE

Module 1: What has Sustainability to do with finance?

- Sustainability concept & milestones
- Global & National Net Zero Commitment
- Activities:
Identify your bank's sustainability commitment

Module 2: Understanding Climate Change

- Foundation of climate change
- GHG Emission
- Climate mitigation & adaptation

Module 3: Climate Risk Management

- Physical Risk & Transition Risk
- Climate risk impacts to bank as company-level risks
BNM
- BNM Climate Risk Management & Scenario Analysis
(CRMSA)
- BNM Climate Change Principle Taxonomy (CCPT)
classification
- Greenwashing

Module 4: Group Discussion & Presentation: Cross-functional Learning Exchange

Participants will be grouped by department to discuss how to integrate the new knowledge and skills learned into their respective job functions.

All groups will share their action plans, perspectives, and ideas during the presentation.

This fosters a culture of sharing and collaboration by understanding the roles of other departments.

ABOUT THE TRAINER

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

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Learning Level | Intermediate

RENEWABLE ENERGY FINANCING IN MALAYSIA

Considering recent policies and the roadmap designed to enhance the implementation of renewable energy in Malaysia, the MyREr, NETR, and Hydrogen Economy Roadmaps have been published. This development has ushered in more investment opportunities and projects with a focus on the implementation of renewable energy.

However, given the inherent differences in all forms of renewable energy, it is crucial for the bank's business and risk officers to possess a fundamental understanding of the current landscape concerning technology, policies, and financial mechanisms to effectively evaluate these projects.

The knowledge gain from this one-day programme will empower participants to identify financing gaps and opportunities, manage associated risks effectively, and craft innovative sustainable financing proposals that can underpin clients' sustainability roadmaps, facilitating a seamless transition toward a green and low-carbon economy. It is also designed to elevate BNM CCPT due diligence practices for the bank's Relationship Managers and Credit Risk Officers.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Recognise the government's initiatives and incentives for promoting renewable energy in Malaysia
- Explain the types of green technology (renewable energy) projects and the financing options available to support investment in renewable solar PV system
- Apply basic technical knowledge, along with green financing principles and BNM CCPT, to engage potential customers confidently and manage risks effectively

TRAINING METHODOLOGY

Lectures, video, quizzes, case study discussions. Throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics.

PARTICIPANT PROFILE

Banking professionals who are interested in gaining basic knowledge and skills related to application of green financing in green thematic (Solar PV, Energy Efficiency, Green Building)

PROGRAMME DETAILS

WHEN

25 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001360919

PROGRAMME OUTLINE

Module 1: Refresh and Update

- Refresh and enhance BNM CCPT practices (due diligence)
- Principles of Green Finance

Module 2: Thematic (Renewable Energy)

- Introduction to Renewable Energy
- Renewable Energy Regulation, Policies, Roadmap
- Biomass, Biogas, Waste to Energy
- Mini and Large Hydro
- Hydrogen
- Case study of RE projects
- RE Risk and Mitigation
- GITA, GITE, GTF5
- Solar PV vs Solar Thermal
- Solar Irradiance in Malaysia and estimation of power output
- Financial Mechanism available for Solar Power Renewable Energy
- Case study of Solar PV Projects
- Renewable Energy contract and mode of business
- RE Solar Risk and Mitigation
 - » Reference- BNM VBIAF Sectorial Guide RE

ABOUT THE TRAINER

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

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ASSOC. PROF. TS. DR. HO WAI SHIN

(Solar PV Expert)

Assoc. Prof. Ts. Dr. Ho Wai Shin has more than 12 years experience in the areas of Renewable Energy and Green Technology. He pursued his Ph.D. in 2011 where he had started doing research on renewable energy system optimisation. Upon graduation, he joined Universiti Teknologi Malaysia (UTM) as a Senior Lecturer and now an Assoc. Professor. He has taught numerous engineering subjects including, Renewable Energy, Energy Conversion, Thermal Energy Management, Control Process and Instrumentation, Pollution Control, and many more. He is also a Certified HRD Corp Trainer. He has also conducted courses on Green Technology Financing for Financial Institutions under MGTC.

Dr. Ho obtained his Ph.D. in 2014 from UTM and he was awarded the prestigious Commonwealth Scholarship to attend Imperial College London during his Ph.D. Dr. Ho's invention and research work gained him numerous recognitions where he was awarded the global Best Young Chemical Engineer in Research by ICheme in 2015. He was also awarded the Young Scientist Research Award by UTM in 2020 and in the same year, was awarded the National Young Scientist (Saintis Muda Negara) by the Ministry of Science, Technology, and Innovation. He is a Certified Energy Manager under the ASEAN Centre of Energy and Registered Electrical Energy Manager by Energy Commission, Malaysia.

SUSTAINABLE FINANCE FOR RELATIONSHIP MANAGERS

This two-day programme aims to equip Relationship Managers in Corporate, Commercial and SME Banking with the relevant basic sustainable finance knowledge and skills required to function effectively in alignment with their bank's Sustainability Commitment and be confident in customer engagement.

Relationship Managers will learn and apply basic science-based knowledge on climate change and be competent to adopt the Bank Negara Malaysia (BNM) Climate Change Principle-based Taxonomy or customer's climate risk assessment and profiling.

The programme will provide an overview of the current transitioning financial products and solutions in the market. This can further enhance the Relationship Manager's confidence to structure innovative sustainable financing proposals to help clients in transitioning towards a low carbon economy.

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Discuss how climate risk impacts the bank and clients and how it relates their role as Relationship Managers
- Identify key factors that underpin the science of climate change
- Understand and apply the BNM CCPT principles for evaluating the client's economic activities
- Communicate confidently and propose relevant financing needs to their clients

TRAINING METHODOLOGY

Lectures and combination of activities including games, videos, quizzes, case study discussion, pre & post assessment and personal reflection.

Throughout the modules, participants will be provided with references, publications, and relevant databases to dive deeper into specific discussed topics.

PARTICIPANT PROFILE

Corporate, Commercial and SME Relationship Managers (Front Line)

PROGRAMME DETAILS

WHEN

27 – 28 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 2,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001304121

PROGRAMME OUTLINE

Module 1: Awareness of Sustainable Finance

- UN SDGs & Paris Agreement, Nationally Determined Contributions (NDCs)
- Broad concept of Sustainable Finance
- Activity: Identify your bank's sustainability commitment and strategies

Module 2: Overview of Sustainable Risk

- Financial impact to banks & financing customers
- Introduction of climate-related risks
- Risks & Opportunities
- Case study

Module 3: Understanding Climate Change

- The science of climate change
- Climate mitigation & adaptation
- Activity: Carbon footprint

Module 4: Customer Engagement & Profiling- Climate risk

- BNM CCPT & other relevant BNM requirements
- Walkthrough use cases
- Client engagement – challenges and opportunities

Module 5: Green Finance and Sustainable Finance Products

- BNM Low Carbon Transition Financing (LCTF), Sustainability Linked Loans, Green Financing
- Examples & case study

Module 6: Latest Development

- Emerging regulation and expectation: BNM in the pipeline
- Summarise roles of Relationship Managers and personal action plan

ABOUT THE TRAINER

SHARON LIEW SIU WEI

Sharon Liew is a Senior Consultant at the Asian Banking School (ABS) and has more than 17 years of banking and training experience. She started her career as a Senior Associate at BDO; leading audits of the government-linked group of companies under the Prime Minister's Office from 2005-2007. Following that, she joined Bank Negara Malaysia (BNM) as Financial Supervisor where her responsibilities included on-site and off-site surveillance of financial institutions in Malaysia. Pursuing her passion for teaching, Sharon then went on to teach banking and finance at the Tunku Abdul Rahman University College from 2014 to 2021.

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Learning Level | Intermediate to Advanced

CERTIFIED EXPERT IN BIODIVERSITY FINANCE

Biodiversity underpins life on Earth. Healthy ecosystems provide ecosystem services essential to human well-being and economic activities. Scientists estimate that one million of the world's estimated 8 million species of plants and animals are on the verge of extinction, and this could curtail our ability to meet the Sustainable Development Goals (SDGs) and limit global warming to 1.5 degrees.

Why should financial institutions care? In today's world, financial institutions play a pivotal role in managing financial

resources and contributing to the conservation of our planet's invaluable biodiversity. They impact biodiversity through their lending or investment decisions. At the same time, they are exposed to physical, reputational, systemic, and transitional risks due to biodiversity loss. Hence, the disruption of biodiversity poses significant yet often overlooked risks to financial stability. The financial sector is increasingly acknowledging the financial risks associated with biodiversity loss and the integral role it could play in preserving the delicate balance of life on Earth. According to UNEP, if the world is to achieve its climate change, biodiversity, and land degradation objectives, investments in nature must triple by 2030.

Our Biodiversity Finance Course is designed to deepen your understanding of risks associated with biodiversity loss and empower you with the knowledge and tools needed to support the preservation of Earth's diverse ecosystems as financial actors.

PROGRAMME DETAILS

Next Intake – 1 Sep 2024 to 28 Feb 2025
Final exam will be in March 2025

Training Platform : Online
(Frankfurt School e-Campus)

*This programme has two intakes per year –
March & September*

FEES

Early Bird Fee (By 15 Jul 2024)

MYR 4,500 / SGD 1,300 / EUR 850

Regular Fee (After 15 Jul 2024)

MYR 5,500 / SGD 1,600 / EUR 1,050

(Fee shown is per participant and subject to 8% Service Tax. An additional final exam fee will be charged by the Frankfurt School for the second and third final exam attempt)



1 CPD Hour for each hour of study time, up to a maximum of 20 CPD Hours



HRDC Prog No:
10001377930

TRAINING METHODOLOGY

- An interactive e-learning course including video lectures, PDF scripts, practical exercises, online tests and case studies.
- A discussion forum for course related issues as well as for exchange of opinions and experiences with tutors, peers or the FSDF e-Campus team.
- Personalised support from the Frankfurt School e-Campus team.
- The possibility to achieve an internationally recognised Frankfurt School certificate after passing the 2-hour online final exam or a confirmation of course completion after completing the course.
- This online course can be complemented with a classroom component. It can be held at the Asian Banking School but only if there are sufficient numbers and with additional programme fees. This is voluntary and not a requirement to get certified by the Frankfurt School.

PARTICIPANT PROFILE

Finance experts and professionals, including retail bankers, financial advisors, asset managers, risk managers etc., and all other financial industry professionals and practitioners in the financial sector interested in the topic of sustainable and biodiversity finance.

PROGRAMME OUTLINE

The programme takes approximately 6 months assuming 3-4 hours of self-study per week. It consists of 5 mandatory units, which build upon each other. There are 2 intakes per year - March and September.

Unit 1: Setting the Scene – Introduction to Biodiversity and Ecosystem Services

In this unit, we introduce the participants to the complex world of biodiversity, providing a comprehensive overview of concepts, the science, and exploring the interplay and interdependence between biodiversity loss and climate change. The unit also provides regional and sectoral case examples of the importance of biodiversity to the overall society and business.

UNIT 2 : Biodiversity Loss Threat to the Financial System – Risks, Impacts and Dependencies

Within this module, we explore how the loss of biodiversity possesses the capacity to disrupt the financial system and the economy as a whole. It explains key terminologies and concepts of biodiversity finance and biodiversity loss as impact dependence and double materiality. This Unit delves into the intricate connections between biodiversity and financial stability, explaining double materiality and risk management strategies.

UNIT 3 : Public and Private Sector – Initiatives and Available Frameworks

The module is dedicated to biodiversity initiatives and frameworks. It is specifically designed to help participants develop a good understanding of the role of the public and private sectors in biodiversity finance. It introduces participants to initiatives currently under development at the regulatory level, such as taxonomies. This module also aims to cover platforms and tools available for assessing biodiversity-related risks and opportunities.

UNIT 4 : Measuring, Reporting and Strategy

In this module, participants will gain insights into the current tools, databases, initiatives, targets, metrics, and approaches that are used to evaluate biodiversity risks, and measure impacts, and interdependencies within a company's overall strategy.

UNIT 5 : Opportunities and Innovation

Having grasped the context of biodiversity finance, the risks associated, and current standards, tools, and frameworks, the fifth unit delves into the biodiversity finance landscape, exploring an array of financial opportunities, including projects related to biodiversity as nature-based solutions, climate-smart agriculture, and restoration. Lastly, the module further delves into the financial products, funds and forms of capital suited for investment in this realm.

UN SUSTAINABLE DEVELOPMENT GOALS

This programme equips you with the knowledge to advance the following SDGs:



THE FS-UNEP CENTRE

The content of this course was developed by the Frankfurt School - UNEP Collaborating Centre for Climate & Sustainable Energy Finance (<http://fs-unep-centre.org/>). The Centre is a strategic cooperation between Frankfurt School and the UN Environment programme. Its vision is to advance transformation to resilient low-carbon and resource-efficient economies by attracting new types of investors, in particular catalysing the financing of clean energy by the private sector. The Centre is UNEP's major knowledge hub for climate & biodiversity finance related aspects.

Learning Level | Intermediate to Advanced

CERTIFIED EXPERT IN ESG & IMPACT INVESTING

Environment Social and Governance (ESG) and Impact Investing are approaches to finance that are related to each other, although they may occupy different ends of the spectrum in terms of methodological intensity or operational mind-set. They have gained a lot of traction in recent years and will continue to do so in the (post-) Corona world. Calls for a green and socially equitable re-start are very prominent. The EU's Green New Deal ("Green Deal") and the UN's Sustainable Development Goals (SDGs) are good examples of how ESG criteria and ideas of Impact Investing are being incorporated into policy objectives.

PROGRAMME DETAILS

Next Intake – 1 Sep 2024 to 28 Feb 2025

Training Platform : Online
(Frankfurt School e-Campus)

*This programme has two intakes per year –
March & September*

FEES

Early Bird Fee (By 15 Jul 2024)

MYR 7,700 / SGD 2,300 / EUR 1,485

Regular Fee (After 15 Jul 2024)

MYR 8,800 / SGD 2,600 / EUR 1,700

(Fee shown is per participant and subject to 8% Service Tax. An additional final exam fee will be charged by the Frankfurt School for the second and third final exam attempt)



1 CPD Hour for each hour of study time, up to a maximum of 20 CPD Hours



HRDC Prog No:
10001266709

TRAINING METHODOLOGY

An interactive e-learning programme including video lectures, PDF scripts, examples, practical exercises, online tests, assignments and case studies. A discussion forum for course related issues as well as for exchange of opinions and experiences with trainers, peers or the FSD e-Campus team. Personalised support from the Frankfurt School e-Campus team. The possibility to achieve an internationally recognised Frankfurt School certificate after passing the 2-hour online final exam or for those not wanting to take the final exam, a confirmation of course participation after completing the course.

PARTICIPANT PROFILE

Finance experts and professionals, including retail bankers, financial advisors, asset managers, risk managers etc. and all other financial industry professionals interested in the topic of sustainable finance. Also designed for those working in international DFIs, multilateral donor organisations, institutional investors, pension funds, insurance companies, asset and fund managers who want their investments to achieve positive impact.

PROGRAMME OUTLINE

The course takes approximately 6 months assuming 4-6 hours of self-study per week. It consists of 7 mandatory units, which build upon each other.

UNIT 1 : Introduction and Overview: What are ESG Criteria and Impact Investing

This introductory unit provides you with an understanding of how ESG criteria and Impact Investing relate to traditional mainstream finance. It familiarises you with major stakeholders, initiatives, and approaches for integrating non-financial issues into the day-to-day activities of investing. Key concepts and themes are introduced, which establish foundations for a learning journey that will be expanded upon over the subsequent units.

UNIT 2 : Historical and Theoretical Context

ESG and Impact Investing are orientated within different operational contexts: from asset management, through direct investment, to advisory services, etc. This unit helps you understand what is relevant for your context, whilst also making you aware of other requirements you may encounter in adjacent parts of the industry. It contextualises the historical evolution and theoretical development of ESG and Impact Investing to show how they complement each other, and to demystify why some narratives about managing non-financial factors appear to be fragmented and conflicting. Conceptual structures are presented that help you apply theory to praxis via a unified framework.

UNIT 3 : Strategies for Measuring and Managing ESG Criteria and Impact

There are different strategic pathways available to managing ESG and impact factors. Each pathway represents a different level of methodological intensity. You will be introduced to the techniques and tools that are relevant for each, and learn how to choose the pathway that is most suitable for your needs or circumstances. This unit also clarifies how these strategic pathways align with operational mind-sets such as compliance, risk management, as well as proactively managing performance against non-financial goals.

UNIT 4 : Integrating ESG and Impact Management in the Investment Process

Each of the strategic pathways for managing ESG and impact can be integrated at every stage of the investment process: from deal sourcing and due diligence, through ongoing investment management, to final exit or contract maturity. These all follow the same high-level process that aligns with the Deming quality management cycle. This is applied with different levels of intensity and granularity depending on the strategic pathway being followed. You will learn how this process can be deployed across all asset classes, across publicly traded securities and private over-the-counter transactions, and by retail investors as well as institutional investors.

UNIT 5 : Specialised Financial Instruments and Legal Contracts that Integrate ESG and Impact

This unit starts with an introduction of traditional asset classes and financial instruments (a refresher for anyone familiar with both investing and philanthropy). You will learn how ESG and impact features are incorporated into financial instruments. This can be achieved either by enhancing traditional instruments with additional requirements and obligations, such as with Green Bonds; or by developing innovative instruments and hybrid models that introduce new contractual and financial arrangements, such as Social Impact Incentives.

UNIT 6 : Ratings, Indicators, Non-Financial Reporting and Data

There are currently no universally accepted standards for reporting non-financial information, although there are several voluntary frameworks and norms established by industry initiatives. You will learn about the key regulations and legal requirements that are currently in various stages of development, notably by the European Commission. The unit also covers key trends, selected best practice, and challenges to help you navigate the various ratings, labels, certifications, and evaluation methods that are available. The challenges and opportunities in data collection are outlined, which explains why information from different providers of data and ratings is often weakly correlated.

UNIT 7 : Elective Module

Participants choose one module from the following options. The unit will conclude with an assignment that is tailored to the option you choose.

- 7a Marketing, Fundraising and Sales – this option allows you to delve deeper into designing and presenting a product, fund, or other business idea for ESG and impact investing. It covers fund design, investor targeting, and how to present to investors.
- 7b Due Diligence – this option allows you to delve deeper into assessing investment opportunities. It covers the different tools available and how to take into account the market context, governance, legal form and business models, as well as planning a non-site visit.

UN SUSTAINABLE DEVELOPMENT GOALS

This programme equips you with knowledge to advance all the SDGs



ABOUT THE TRAINERS



Prof. Dr. Zacharias Sautner is Professor of Finance at Frankfurt School of Finance & Management. He was previously Associate Professor of Finance at the University of Amsterdam and Research Fellow in Finance at the Saïd Business School of the University of Oxford. Zacharias Sautner works in the area of empirical corporate finance and focuses on ESG, climate finance, and corporate governance. His research was published in leading international journals such as the Journal of Finance, Review of Financial Studies, Management Science or Review of Finance and he has presented at conferences such as the AFA, WFA, and EFA. He was ranked #2 in Germany in Business Administration (#1 in Finance) based on A+ publications (age of 40 or below) by Forschungsmonitoring.org (February 2019). He teaches corporate finance, valuation, and corporate governance and has won different research and teaching awards.



Lucie Charrier is a Senior Financial Inclusion Expert with 15 years of experience in Latin America and the Caribbean, Africa, the Middle-East, Europe and Central Asia. Lucie Charrier is currently Senior Business Development Expert for the implementation of the Technical Assistance Programme of the European Investment Bank (EIB) to the Financial Sector that is being implemented by Frankfurt School of Finance and Management since May 2018 in the Caribbean region. Lucie is a Qualified Social Performance Auditor by CERISE since December 2015. Lucie was previously Country Manager Peru for Oikocredit and Senior Analyst at Planet Rating. Lucie has conducted more than 100 institutional, financial and social performance ratings, due diligence and evaluations, social audits, Smart Campaign's client protection principles certifications as well as technical assistance projects in Latin America and the Caribbean, Africa, the Middle-East, Europe and Central Asia.



Karl Richter is the co-founder of EngagedX, which is a consultancy specialising in impact investing, thought leadership, data and tech development, advocacy and policy work. Karl works internationally across private, public, and social sectors. He lectures at the Frankfurt School of Finance and Management, and has guest lectured at the Universities of Oxford and Cambridge. Karl's previous experiences include a 12 month assignment as Head of Research and Knowledge for the UNDP SDG Impact Finance initiative (UNSIF), where he led research to improve the analytical framework for social impact investing; membership of Groupe d'Experts de la Commission sur l'Entrepreneuriat Social (GECES) of the European Commission to advise on its Social Business Initiative; part of the OECD expert group on social impact investing. Karl is currently Senior Fellow of the Finance Innovation Lab; and adviser to several organisations, including the China Alliance for Social Value Investment. Alliance for Social Value Investment.



Dina Lorenz studied economic law focusing on finance and started her career as a consultant and investment banker specialised in the acquisition and respective valuation of financial institutions. She now works as a Business Development Manager, Regulatory. Dina specialises in Impact Investing topics having worked for a Swiss Impact Investment Fund the last several years in Lima, Peru. Underlying her dedicated work within Impact Investing, she is a member of the Board of SA Oikocredit North Germany, a support association of Oikocredit International, a Netherland's based worldwide cooperative and social investor, providing funding to the microfinance sector, fair trade organisations, cooperatives and small to medium enterprises in Africa, South-East Asia, India and Latin America. In addition, she is a consultant to the Green Sustainable Finance Cluster Germany as well as founding member on the establishment of the Federal Initiative Impact Investing in Germany.

Learning Level | Intermediate to Advanced

CERTIFIED EXPERT IN SUSTAINABLE FINANCE

The global economy has grown rapidly in the last 200 years but this achievement has come at the cost of climate change and environmental damage. With increased environmental awareness among nations and people, a global strive towards sustainable development is now growing. You may ask yourself what does this have to do with finance? Making the necessary change, transformation of our economies and societies require commitments, innovations and, last but not least, finance and investments.

Sustainable Finance can help steer the global economy towards sustainability and accelerate the necessary change. The financial sector has an important role in deciding where capital flows and by implication where it does not flow to. In this programme, you will learn about creating impact, managing sustainability risks, and benefiting from sustainable business opportunities. It will familiarise participants with the business, regulatory and technical perspectives of sustainable finance and will acquaint them to take an active part in the discussion around the topic. The programme aims to enable financial professionals to integrate Sustainable Finance related aspects into their work through new knowledge and tools for advising their clients and business partners.

The programme provides a comprehensive introduction to the world of sustainability, no prior knowledge of Sustainable Finance or Finance is required.

TRAINING METHODOLOGY

- An interactive e-learning programme including video lectures, PDF scripts, examples, practical exercises, online tests and case studies.
- A discussion forum for course related issues as well as for exchange of opinions and experiences with tutors, peers or the FSDF e-Campus team.
- Personalised support from the Frankfurt School e-Campus team.
- The possibility to achieve an internationally recognised Frankfurt School certificate after passing the 2-hour online final exam or a confirmation of course completion after completing the course.
- This online course can be complemented with a classroom component. It can be held at the Asian Banking School but only if there are sufficient numbers and with additional programme fees. This is voluntary and not a requirement to get certified by the Frankfurt School.

PROGRAMME DETAILS

Next Intake – 1 Sep 2024 to 28 Feb 2025
Final exam will be in March 2025

Training Platform : Online
(Frankfurt School e-Campus)

*This programme has two intakes per year –
March & September*

FEES

Early Bird Fee (By 15 Jul 2024)

MYR 7,700 / SGD 2,300 / EUR 1,485

Regular Fee (After 15 Jul 2024)

MYR 8,800 / SGD 2,600 / EUR 1,700

(Fee shown is per participant and subject to 8% Service Tax. An additional final exam fee will be charged by the Frankfurt School for the second and third final exam attempt)



1 CPD Hour for each hour of study time, up to a maximum of 20 CPD Hours



HRDC Prog No:
10001230425

PARTICIPANT PROFILE

Finance experts and professionals, including retail bankers, financial advisors, asset managers, risk managers etc. and all other financial industry professionals interested in the topic of sustainable finance.

PROGRAMME OUTLINE

The programme takes approximately 6 months assuming 5-8 hours of self-study per week. It consists of 10 mandatory units, which build upon each other.

UNIT 1 : The World of Sustainability

This first unit sets the scene and introduces participants to the world of sustainability by explaining the key factors that underpin the science of climate change and global warming, including climate diplomacy. It also provides an overview of the different rationales for why integrating sustainability considerations into the financial market is important and discusses the role of the financial system in the transformation to a low-carbon and more sustainable economy.

UNIT 2 : Towards a Sustainable Financial System

The institutional setup is important for mainstreaming sustainability in the financial system. In Unit 2, participants are introduced to the role of the financial system, involved stakeholders, and their interplay, especially in the context of driving the transition towards a sustainable financial system. The specific roles of the different financial actors are explained, accompanied by practical exercises in an interactive learning environment.

UNIT 3 : The Role of Regulation and Policy

Unit 3 demonstrates and explains through detailed examples of the role and rationale of regulation and policy in sustainable finance. There is also a “deep dive”, a closer look, at the EU sustainable finance regulatory and policy landscape provided in this unit.

UNIT 4 : Sustainable Finance in the Financial Institution’s Strategy

The unit introduces the strategic implications of mainstreaming sustainable finance within institutions, continuing the structure introduced in previous units of looking at risk and impact management as well as the resulting opportunities from a strategic point of view.

UNIT 5 : Sustainability Risks and Impact Through Financing

Unit 5 discusses how to implement and manage sustainability risks and create impact through financing for financial actors. It will deepen the participants’ knowledge of the risk and impact perspectives. An overview of (main) sustainable finance risks that financial actors are facing will be provided, together with steps to be taken to identify, measure and mitigate them. Moreover, the importance of impact measurement and its methodological concepts are introduced in the unit.

UNIT 6 : Sustainable Finance Opportunities (Products)

Unit 6 provides an overview of sustainable finance related opportunities. It focuses on debt and equity-related sustainable products, especially green bonds, and is complemented by a section on additional services.

UNIT 7 : Banking

This unit provides an overview of the market environment, potential, development, and criticism for wholesale and investment, retail, and corporate banking products and services.

UNIT 8 : Institutional Investors

Unit 8 provides an overview of the institutional investors, their mandates as well as implementation methods. Furthermore, the unit discusses the role and construction of benchmarks.

UNIT 9 : Insurance

The role of insurance in mitigation of sustainability is discussed in unit 9. Participants will learn what role insurance plays within the wider financial system and build an understanding on how climate-related financial risks are impacting the finance sector.

UNIT 10 : Recap and Outlook

The course concludes with a re-cap of the learning materials from unit 1 to unit 9, while also making an outlook of ongoing and upcoming developments in the sustainable finance sector.

UN SUSTAINABLE DEVELOPMENT GOALS

This programme equips you with the knowledge to advance the following SDGs:



ABOUT THE TRAINERS



KARSTEN LÖFFLER

Karsten Löffler heads the FS-UNEP Collaborating Centre for Climate & Sustainable Energy Finance. He used to represent the GSFCG in the EU Commission's Technical Expert Group (TEG) on Sustainable Finance, where he actively shaped the EU Taxonomy applying his extensive experience in renewable energy finance. In October 2020 he became a member of the Commission's newly established Platform on Sustainable Finance. Furthermore, Karsten had the honour to chair the Sustainable Finance Committee of the Federal Government. One of the tasks was to develop recommendations for a Sustainable Finance strategy for Germany.



PROF. DR. ULF MOSLENER

Prof. Dr. Ulf Moslener is professor for Sustainable Energy Finance at the faculty of Frankfurt School. As Head of Research at the Centre, his current fields of research are the economics of climate change, financing sustainable energy systems and climate finance. He is a board member of the newly founded Green and Sustainable Finance Cluster Germany, which support the process of transformation to a green and sustainable economy through innovative and scalable support from the financial industry. Dr. Moslener holds a Diploma in Physics and a PhD in Economics from the University of Heidelberg. After working at the Centre for European Economic Research (ZEW) in Mannheim, he joined KfW Development Bank in Frankfurt.



SEBASTIAN RINK

Sebastian Rink is a green and sustainable finance expert and researcher at the Frankfurt School – UNEP Collaborating Centre. He is, among other topics, analysing the question how banks can facilitate the transformation of SMEs' business models. Additionally, Sebastian is an associate in the Net Zero Banking Alliance Germany by the Green and Sustainable Finance Cluster Germany. His prior work includes co-developing the EU Taxonomy, policy advisory, advisory in the private financial sector, transaction management, and provision of training and education.

FURTHER COURSE TRAINERS & EXPERTS

- » Dr. Christine Grüning, Senior Project Manager, FS-UNEP Centre
- » Dr. Alexander Lehmann, Head of the Sustainable World Academy at Frankfurt School.
- » Malin Emmerich, Project Manager, FS-UNEP Centre
- » Menglu Neupert-Zhuang, Sustainable Finance Expert & Project Manager
- » Christina Anselm, Project Coordinator, FS-UNEP Centre
- » Youri Matheis, FS-UNEP Centre
- » Dr. Anne Michaels, Luiza Linton, and Urata Biqkaj-Müller, Magnolia Consulting GmbH

Learning Level | Intermediate

BOND PRICING AND INTEREST RATES: INTERPRETING SIGNALS FOR ECONOMIC FORECASTING

The economy is in a confusing state. And in uncertain economic times, we go back to our bread and butter - economic indicators. Some of the best indicators can be found in one of the biggest financial marketplaces in the world, the interest rate and bond price. In this one-day programme, we will dive into the mysteries of the interest rate and bond market, reviewing what exactly they are and how they impact the economy, by analysing past events and case studies.

PROGRAMME DETAILS

WHEN

30 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001417983

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Describe with confidence the workings of the interest rate and bond market
- Identify the main drivers of bond prices
- Analyse the impact of interest rates and economic conditions on bond prices
- Formulate strategies to deal with changes in interest rates and bond prices

TRAINING METHODOLOGY

Lectures including presentations, case studies and discussions

PARTICIPANT PROFILE

Banking & financial service professionals who want to gain an understanding of the bond market and the impact of changes in interest rates on the financial market

PROGRAMME OUTLINE

Getting a Feel of the Bond Market

- Why the bond market matters so much?
- Why bonds? Why not just borrow from banks?
- Who issues bonds? Who taps the bond market?
- Who invests in bonds?
- The key features of bonds
- Case study – Why did the company issue negative yield bonds?

Reading the Yield Curve

- Types of yield curves
- Application of yield curves
- Why it has been so accurate in predicting a recession
- Case study – Yield curve and recession

The Different Types of Yield

- Types of yields: Nominal yield, current yield & yield to maturity
- The determinants of bond price
- Bond risks – Interest rate risk and default risk
- Case study – the Collapse of Silicon Valley Bank - a study in the Inverse Relationship between Bond Price and Interest Rate
- Case study – Credit Suisse CoCo Bonds (AT1 bonds) - The anomaly of equity ranking higher than bond and how the market for AT1 is shaken

Drivers of interest rates

- Factors affecting interest rates
- Central bank's role in managing interest rates
- Case study – a cut in OPR and the bond price in Malaysia

ABOUT THE TRAINER

WONG LOKE LIM

Loke Lim has almost thirty years of banking and finance-related experience. He is the principal of i-Biz Concept Sdn Bhd, a financial training and management resources provider. He is also a director of a Bursa Malaysia-listed company and sits on the Board of Governors of the Financial Planning Association of Malaysia, where he was the Deputy President from 2011-2013. Previously, he was the Chief Executive/Licensed Representative of a fund management company, a general manager at KAF Investment Bank Berhad and the Group Chief Internal Auditor of a KLSE (now Bursa Malaysia)-listed company. He speaks regularly on topics relating to capital markets, treasury, accounting and wealth management, and conducted training programmes for capital market professionals, private bankers and wealth managers in various financial centres in the Asia-Pacific region. He is a chartered accountant, a fellow of the Association of Chartered Certified Accountants and a Certified Financial Planner.

Learning Level | Intermediate

BONDS AND DEBT SECURITIES – MECHANICS OF PRIMARY ISSUANCE AND SECONDARY TRADING

This one-day programme provides participants with an understanding of debt securities issuance and trading process. They will also acquire a working knowledge of new debt issues, identify trends and preferences of investors and issuers, provide recommendations to clients on a reasonable basis and understand the enforcement process for market misconduct by the relevant authorities.

PROGRAMME DETAILS

WHEN

5 November 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001291971

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain how the bond and debt securities market works and the role of key players
- Identify main applications of debt securities products
- Determine key factors driving interest rates and monetary policies
- Perform fundamental risk assessment in debt securities

TRAINING METHODOLOGY

Lecture, discussion and case studies

PARTICIPANT PROFILE

Suitable for anyone wanting to learn about the process of bond issuance and trading including treasury personal, dealers, bankers, financial advisors, wealth managers, accountants, risk managers, compliance officers, auditors and credit analysts

PROGRAMME OUTLINE

Overview of the debt securities market

- Basics of bond and money markets
- The primary market: The new issue & syndication process
- Roles of Malaysian regulators & intermediaries
- Case study: Issuing bonds in Malaysia

Issuer and investor perspectives of debt securities

- What drives/moves the bond markets?
- Mechanics of setting interest rates
- Role of Credit Rating Agencies and Bond Pricing Agency
- Case study: Impact of interest rate changes on bond market

Essential bond market maths

- Pricing a bond
- Yield to Maturity (YTM)/Gross Redemption Yield (GRY)
- Exercise: Calculate the price of a bond

Types of bonds

- Plain-vanilla bonds
- Floaters and zeros
- Puttable and callables
- Convertibles, Perpetual, Asset Backed Securities (ABS), etc
- Case study: Analysis bond features

ABOUT THE TRAINER

WONG LOKE LIM

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Learning Level | Intermediate

EVOLUTION OF BASEL CAPITAL ADEQUACY FRAMEWORKS: CONCEPTS AND PRINCIPLES

Since its foundation in 1974, the Basel Committee has laid the foundation for ensuring the capital adequacy required for managing risk in banks. This one-day programme is designed to provide participants with a good understanding of the Basel I, Basel II, and Basel III capital adequacy frameworks. It explores the concepts of capital adequacy under the frameworks and elaborate on the changes introduced by each framework and latest reforms under Basel IV.

PROGRAMME DETAILS

WHEN

29 October 2024
9:00 am – 5:00 pm

WHERE

Asian Banking School

FEES*

RM 1,700

**Subject to 8% Service Tax per pax*



HRDC Prog No:
10001417912

LEARNING OBJECTIVES

By the end of the programme, participants will be able to:

- Explain the Basel Bank Capital Adequacy framework and its objectives
- Identify the different types of capital and how they are calculated
- Analyse the capital requirements under Basel I, Basel II, and Basel III
- Outline the significance of Basel Bank Capital Adequacy for the financial system

TRAINING METHODOLOGY

Lectures including presentations, case studies and discussions

PARTICIPANT PROFILE

Financial/Banking service professionals who want to gain an overview of the Basel frameworks and their impact on the banking industry

PROGRAMME OUTLINE

Overview

- Evolution of Basel – Basel I, II and III
- The Three Pillars
- Capital Requirements
- Financial Stability and Basel III
- Investors and Basel III

Capital Adequacy

- What is Capital Adequacy Ratio?
- Tier One Capital
 - » Common Equity Tier 1 (CET1)
 - » Additional Tier 1 (AT1)
- Tier Two Capital
 - » Gone concern capital
- Case study: Credit Suisse CoCo Bonds (AT1 bonds)

Computation of Regulatory Capital

- Credit risk
- Market risk
- Liquidity risk
- Operational risk
- Case study: The impact of the collapse of Barings Bank

Basel III 2017 Reform or Basel IV

- Finalisation of Basel III
- Basel III vs Basel IV

ABOUT THE TRAINER

WONG LOKE LIM

Loke Lim has almost thirty years of banking and finance-related experience. He is the principal of i-Biz Concept Sdn Bhd, a financial training and management resources provider. He is also a director of a Bursa Malaysia-listed company and sits on the Board of Governors of the Financial Planning Association of Malaysia, where he was the Deputy President from 2011-2013. Previously, he was the Chief Executive/Licensed Representative of a fund management company, a general manager at KAF Investment Bank Berhad and the Group Chief Internal Auditor of a KLSE (now Bursa Malaysia)-listed company. He speaks regularly on topics relating to capital markets, treasury, accounting and wealth management, and conducted training programmes for capital market professionals, private bankers and wealth managers in various financial centres in the Asia-Pacific region. He is a chartered accountant, a fellow of the Association of Chartered Certified Accountants and a Certified Financial Planner.



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ABS in Oxford | Executive Education

GLOBAL SHIFTS AND RISK FOR BANKING LEADERS

INAUGURAL EDITION · 9 – 13 SEPTEMBER 2024 · OXFORD, UK

This inaugural programme on Global Shifts and Risk for Banking Leaders has been designed to address the main disruptors and risk factors in the banking world and equip participants with the knowledge and tools to prepare their financial institutions for resilience as they move forward. Curated for senior-level professionals from banking and finance-related sectors, this programme will be delivered over one week at the University of Oxford Saïd Business School. Classes will be held at their award-winning Park End Street site located in the heart of Oxford city centre, and participants will stay on campus for a fully immersive Oxford experience. Upon completion of the programme, participants will become members of the Oxford Business Alumni Network.



MYCO ID: 201201039737

Scan QR Code for
more information



Visit: www.asianbankingschool.com • Email: training@asianbankingschool.com • Call: +603-2701 7822



ABS in LONDON

25 NOV – 6 DEC 2024

PROGRAMME FEE :

MYR 90,000 / SGD 25,800

GLOBAL BANKING LEADERS PROGRAMME

7TH EDITION

The two-week long international residential *Global Banking Leaders Programme* was developed by the Asian Banking School (ABS) in collaboration with the Bayes Business School (formerly Cass), City, University of London. Already in its seventh edition, the first week of the programme will be held in Kuala Lumpur and the following week in London. The learning is delivered through a mixture of lectures, interactive debates, topical case studies and group-work by a balance of Bayes Business School faculty members and experienced industry experts. This unique programme has been designed for senior level executives from banking and other finance-related institutions and will give invaluable insights into areas that leaders of today must face head on. Participants will become alumni of the Bayes Business School upon completion.

ABOUT ABS

The **ASIAN BANKING SCHOOL (ABS)** is dedicated to developing talent and is the largest specialised provider of quality banking training programmes in the ASEAN region.

As the industry's preferred partner in learning and development, ABS offers customised and open enrolment training programmes that cover a comprehensive list of banking areas developed by its Specialist Training Consultancy Team or in collaboration with strategic learning partners that includes some of the top business schools in the world. This includes its Executive Education programmes with Bayes Business School (formerly Cass) in London, the University of Cambridge Judge Business School, Frankfurt School of Finance and Management, INSEAD, the University of Edinburgh Business School, Bologna Business School, Bangor Business School, ESSEC Business School. In 2024, ABS will offer two new courses with the University of Oxford Saïd Business School and the Tsinghua University, Beijing.

ABS began operations in 2015 and has continued to set the standard for developing talent in the banking industry through its innovative, unique, and relevant training programmes that have transformed the banking education landscape having trained nearly 200,000 bankers since its inception. In 2023, ABS established its Singapore office as part of its expansion plans to provide personalised training consultancy services within the region.

For enquiries, please contact:
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